Making DL-Lite Planning Practical

Stefan Borgwardt[1] , **Jörg Hoffmann**[2] , **Alisa Kovtunova**[1] , **Marcel Steinmetz**[2]
[1]Institute of Theoretical Computer Science, Technische Universität Dresden, Germany
[2]Saarland University, Saarland Informatics Campus, Germany
{stefan.borgwardt,alisa.kovtunova}@tu-dresden.de, {hoffmann,steinmetz}@cs.uni-saarland.de

Abstract

Planning in the presence of background ontologies is a topic of long-standing interest in AI. It combines the problems of (1) belief update complexity and (2) state-space combinatorics. DL-Lite offers an attractive solution to (1), with belief updates possible at the ABox level. Indeed, it has been shown that DL-Lite planning can be compiled into the commonly used planning language PDDL. Yet that compilation was previously found to be infeasible for off-the-shelf planning systems. Here we analyze the reasons for this problem and find that the bottleneck lies in the planner pre-processes, in particular in the naïve DNF transformations used to compile the PDDL input into the planners' internal representations. Consequently, we design a PDDL pre-compiler realizing a polynomial DNF transformation. We leverage a particular PDDL language feature ("derived predicates") to avoid the need for excessive control structure. Our pre-compiler turns out to be quite effective: the previous bottleneck disappears, and experiments on a broad range of benchmarks demonstrate the first practical technology for DL-Lite planning.

1 Introduction

AI planning is a well-investigated framework for describing the evolution of system states through the application of actions (Ghallab, Nau, and Traverso 2004). Action preconditions are first-order logic formulas, which are evaluated over states, i. e. finite sets of facts. Action effects either add or remove facts from the current state. Formulas are evaluated using a closed-domain, closed-world semantics, i. e. the domain is fixed a priori and facts that are not contained in a state are assumed to be false. Knowledge representation formalisms are a natural way to introduce global constraints on permissible states. However, they usually interpret first-order formulas over arbitrary models with arbitrary domains, instead of just one model with a fixed domain.

Early work on open-world, open-domain formalisms for constraining possible states quickly noticed the so-called ramification problem (Ginsberg and Smith 1988), i. e. an action that makes a fact true also has to take care of satisfying the state axioms, possibly requiring to add or remove other facts, which may also involve new objects. One approach to deal with this problem is to not view states as finite interpretations, but as sets of formulas interpreted in an open-world fashion. In this way, implicit knowledge about the world added by the state axioms does not have to be made explicit in the states themselves. This means that action preconditions are also evaluated under open-world semantics, while action effects do not directly modify a single interpretation, but instead operate on a set of formulas.

DL-Lite Explicit-Input Knowledge and Action Bases (eKABs) (Calvanese et al. 2016) combine classical planning with state axioms formulated in the description logic DL-Lite (Calvanese et al. 2005), while allowing the creation of an (a priori) unbounded number of new objects and interpreting action preconditions under open-world semantics. A translation of eKABs into classical PDDL planning problems was proposed, which in theory allows the use of off-the-shelf planning systems. However, an initial evaluation (Calvanese et al. 2016; Stawowy 2016) using the Fast Downward (FD) planning platform (Helmert 2006) showed poor performance on a simple hand-crafted domain, with the planner being unable to solve even trivial problem instances. With FD building the core of most state-of-the-art classical planners, the scalability remains an issue until today.

Here we analyze the root of this problem. The reason turns out to be not FD-specific, but reveals a fundamental issue in many classical planners, including Fast Forward (FF) (Hoffmann and Nebel 2001). The bottleneck lies in the DNF transformations used to compile the PDDL input into the planners' internal representations. These naïve transformations are applied in-situ without introducing auxiliary predicates, causing a worst-case exponential blow-up on non-DNF input. Here we consider PDDL pre-compilations that enable polynomial DNF transformations. However, in a straightforward approach (Nebel 2000), the introduction of auxiliary predicates and actions comes with substantial overhead for truth-value evaluation of sub-formulas, forcing the planner to re-evaluate the relevant formulas in between the applications of regular actions. We show that this overhead can be avoided by employing PDDL *derived predicates* (Hoffmann and Edelkamp 2005; Thiebaux, Hoffmann, and Nebel 2005), which specify a rule-based update of auxiliary predicates that is applied at every planning state.

We contribute a broad set of DL-Lite planning benchmarks, comprising the previous eKAB tasks (Calvanese et al. 2016; Stawowy 2016), some new eKAB domains, as well as benchmarks adapted from prior work formulating semantic web service composition as planning with propositional background ontologies (Hoffmann et al. 2008). Our pre-

compiler turns out to be quite effective: the bottleneck disappears, and our experiments demonstrate the first practically viable technology for DL-Lite planning.

2 Background

As our optimizations work on the level of classical planning, we introduce the main relevant notions here, and then shortly illustrate eKABs and the original translation.

Planning Languages. We consider the "level 1" fragment of PDDL 2.1 (Fox and Long 2003), excluding numeric fluents and temporal actions, but including ADL expressions (Pednault 1989). A *PDDL task* is a tuple $\Pi = \langle \mathcal{P}, \mathcal{A}, \mathcal{O}, I, G \rangle$ consisting of finite sets of *predicate symbols* $\mathcal{P}$, *action schemas* $\mathcal{A}$, *objects* $\mathcal{O}$, the *initial state* I, and the *goal* G. A *fact* is a ground first-order atom formulated using $\mathcal{P}$ and $\mathcal{O}$. I is a set of facts that are true initially, and all facts not contained in I are assumed to be false initially. The goal G is a closed first-order formula over $\mathcal{P}$ and $\mathcal{O}$. An action schema $a \in \mathcal{A}$ is a triple $\langle \overrightarrow{x_a}, \mathsf{pre}_a, \mathsf{eff}_a \rangle$ with *parameters* (variables) $\overrightarrow{x_a}$, the *precondition* pre_a, and a set of *effects* eff_a. pre_a is a first-order formula over $\mathcal{P}$ and $\mathcal{O}$ whose free variables are restricted to $\overrightarrow{x_a}$. Each effect $e \in \mathsf{eff}_a$ is a tuple $\langle \overrightarrow{y_e}, \mathsf{cond}_e, \mathsf{add}_e, \mathsf{del}_e \rangle$ where $\overrightarrow{y_e}$ is a tuple of variables, cond_e is the *effect condition*, a first-order formula over $\mathcal{P}$ and $\mathcal{O}$ whose free variables are in $\overrightarrow{x_a} \cup \overrightarrow{y_e}$, the *add list* add_e is a set of positive first-order literals with free variables only in $\overrightarrow{x_a} \cup \overrightarrow{y_e}$, and the *delete list* del_e is a set of such negative literals. For example, an action $\mathfrak{A} = \langle x, \mathsf{Emp}(x), \langle \emptyset, \top, \{\mathsf{SoDev}(x)\}, \emptyset \rangle \rangle$ promotes any employee to a software developer. The instantiation of the parameters of an action schema a with objects $\overrightarrow{o}$ from $\mathcal{O}$ yields a *ground action* $a(\overrightarrow{x_a}/\overrightarrow{o})$, or $\underline{a}$ if $\overrightarrow{o}$ is not important.

A *state* s is an interpretation of $\mathcal{P}$ over the closed-world universe $\mathcal{O}$, represented by convention as the set of facts that are true. Formulas are evaluated on states according to standard first-order semantics, where quantifiers range over the objects $\mathcal{O}$. A ground action $\underline{a}$ is *applicable* in s if $s \models \mathsf{pre}_{\underline{a}}$. The result of this application is the state $s[\![\underline{a}]\!]$ with $p \in s[\![\underline{a}]\!]$ iff (1) there exists an effect $e \in \mathsf{eff}_{\underline{a}}$ with instantiation $\overrightarrow{o_y}$ such that $s \models \mathsf{cond}_{e(\overrightarrow{y_e}/\overrightarrow{o_y})}$ and $p \in \mathsf{add}_{e(\overrightarrow{y_e}/\overrightarrow{o_y})}$, or (2) $p \in s$ and it holds for all effects $e \in \mathsf{eff}_{\underline{a}}$ and instantiations $\overrightarrow{o_y}$ that $s \not\models \mathsf{cond}_{e(\overrightarrow{y_e}/\overrightarrow{o_y})}$ or $\neg p \notin \mathsf{del}_{e(\overrightarrow{y_e}/\overrightarrow{o_y})}$. The application of a sequence of ground actions is defined accordingly. A sequence of ground actions $\pi = \underline{a_1}, \ldots, \underline{a_n}$ is a *plan* of Π if π is applicable in I and $I[\![\pi]\!] \models G$.

Our pre-compiler furthermore makes use of the PDDL 2.2 (Hoffmann and Edelkamp 2005) language feature *derived predicates* (a.k.a. *axioms*). The task Π then additionally defines a set $\mathcal{P}_{\text{der}}$ of derived predicates disjoint from $\mathcal{P}$, and a set $\mathcal{R}_{\text{der}}$ of rules r of the form $P_r(\vec{x}) \leftarrow \mathsf{body}_r(\vec{x})$ where body_r is a formula with free variables $\vec{x}$ and $P_r \in \mathcal{P}_{\text{der}}$. The semantics is straightforward: in every state, all derived facts (groundings of $\mathcal{P}_{\text{der}}$) are first set to false, then the rules $\mathcal{R}_{\text{der}}$ are applied up to the (unique) fixed point.

DL-Lite eKABs. A *TBox* of the light-weight description logic DL-Lite (Calvanese et al. 2005; Poggi et al. 2008) is a finite set of axioms expressing state constraints over unary and binary predicates. For example, $\mathsf{Emp} \sqsubseteq \exists \mathsf{worksFor}$ says that every employee needs to work in some department, and $\mathsf{ElEng} \sqsubseteq \neg \mathsf{SoDev}$ expresses that electronic engineers cannot be software developers at the same time.

A *DL-Lite eKAB* $\langle \mathcal{P}, \mathcal{A}, \mathcal{O}, \mathcal{T}, I, G \rangle$[1] (Calvanese et al. 2016) extends a PDDL 2.1 task by a DL-Lite TBox $\mathcal{T}$, a possibly infinite set of objects $\mathcal{O}$, the fact that states (including the initial state) are viewed under the open-world assumption, and a modified syntax for actions $\mathcal{A}$ and the goal G. Action preconditions, effect preconditions, and the goal are now specified as *extended conjunctive queries (ECQs)* (Calvanese et al. 2007), which are a kind of first-oder formulas whose atoms are *conjunctive queries (CQs)*, i. e. existentially quantified conjunctions of atoms. The conjunctive queries are evaluated using the open-world semantics of DL-Lite, but their results (i. e. certain answers) are then interpreted under an epistemic semantics to allow a combination of open- and closed-world conditions. For example, consider the TBox $\{\mathsf{Emp} \sqsubseteq \exists \mathsf{worksFor}\}$, state $\{\mathsf{Emp}(a)\}$, and the conditions $\phi_1(x) = \neg[\exists y.\mathsf{worksFor}(x, y)]$ and $\phi_2(x) = \neg\exists y.[\mathsf{worksFor}(x, y)]$, where the conjunctive queries are indicated by square brackets. Then ϕ_1 does not apply to object a, because all employees are known to work for some department (even if the specific department is unknown), but $\phi_2(a)$ is true since no particular y is known for which $\mathsf{worksFor}(a, y)$ holds.

Another major difference to PDDL is the fact that actions are only applicable if they do not yield an inconsistent state s, i. e. such that $\mathcal{T} \cup s$ has no models. For example, the promoting action $\mathfrak{A}$ would cause an inconsistency when applied to object b in state $\{\mathsf{ElEng}(b)\}$ considering the TBox $\mathcal{T} = \{\mathsf{ElEng} \sqsubseteq \mathsf{Emp}, \mathsf{ElEng} \sqsubseteq \neg \mathsf{SoDev}\}$.

The DL-Lite eKAB to PDDL Compilation. In (Calvanese et al. 2016), a translation from *state-bounded* DL-Lite eKABs to equivalent PDDL tasks is presented. Its main ingredients are (i) a bound on the number of objects in each state (Calvanese et al. 2013; Calvanese et al. 2016), (ii) a translation of ECQs into first-oder formulas under closed-world semantics (Poggi et al. 2008; Calvanese et al. 2007; Calvanese et al. 2016), and (iii) an additional predicate and action that checks consistency of a state.

Since PDDL does not support TBoxes, the translation (ii) effectively compiles the TBox into ECQs, thereby simulating open-world query answering by a closed-world formula. Essentially, every CQ becomes a disjunction of CQs that enumerates all possible combinations of atom implicants; for example in $\mathfrak{A}$ the precondition $\mathsf{Emp}(x)$ becomes $\mathsf{Emp}(x) \vee \mathsf{ElEng}(x)$ to simulate the fact that electronic engineers are considered to be employees ($\mathsf{ElEng} \sqsubseteq \mathsf{Emp}$).

Step (iii) uses a formula that describes every possible inconsistent state. The set of all axioms involving negation, e. g. $\mathsf{ElEng} \sqsubseteq \neg \mathsf{SoDev}$, is first reformulated into a disjunction of CQs of the form $\mathsf{ElEng}(x) \wedge \mathsf{SoDev}(x)$, which describe basic inconsistent situations. By applying the translation (ii) to these CQs, the resulting formula includes all

[1] The syntax is slightly adapted for compatibility with PDDL.

possible ways in which inconsistencies can be generated.

3 Pre-Compiling the eKAB PDDL

We next explain the main practicality bottleneck of eKAB planning with state-of-the-art planning systems, and then describe our fix adding an additional *pre-compilation* step which transforms the eKAB-generated PDDL to a simpler form of PDDL more tailored towards these systems.

The Problem: Planner Pre-Processors. Observe that, depending on the size and complexity of the ontology, the formulas generated from the TBox can become quite complicated. First, the generated disjunctions of CQs in (ii) can become exponentially larger than the original CQs, because different atoms can be entailed in different ways. The formula generated in (iii) can also be quadratically larger than the TBox since it needs to cover all possible interactions between positive and negative axioms. This is not necessarily a problem per se, yet the structure of these formulas is problematic for state-of-the-art planners like FD and FF, which handle only a rather small fragment of formulas effectively.

The core reason for this is the gap between the PDDL input and the internal representation used for planning, which is fully grounded and restricts all conditions (pre, cond, G) to conjunctions of atoms. A *pre-processor* takes care of the transformation from PDDL to this representation, enumerating ground facts and actions and applying a number of syntactic transformations (Gazen and Knoblock 1997). This pre-processor is very similar in both FF and FD. In particular, all formulas are first grounded and then transformed to DNF, where the DNF transformation is done naïvely, i. e. in-situ without introducing auxiliary predicates. For example, for the objects a, b and TBox $\mathcal{T}$ from before, action $\mathfrak{A}$ is transformed into 4 ground actions with preconditions $\mathsf{Emp}(a)$, $\mathsf{Emp}(b)$, $\mathsf{EIEng}(a)$, and $\mathsf{EIEng}(b)$. This increases for each parameter and condition, e. g. if the action further requires computer skills and the TBox additionally specifies that a CS degree guarantees computer skills, the internal representation already contains 8 ground actions. Now, while the translation of an individual CQ in eKAB may be a DNF formula, such formulas can be arbitrarily nested inside other logical constructors, and during grounding (which replaces universal/existential quantifiers with enumerative conjunction/disjunction) the formulas grow even larger. Indeed, our experiments clearly confirm that this has been the main bottleneck in planning on eKAB models.

Pre-Compilation 1: Non-Naïve DNF Transformation. An obvious answer to this problem is to use a non-naïve DNF transformation instead, introducing auxiliary predicates to represent sub-formulas. Indeed, it has long been known that propositional (i. e. ground) formulas can be compiled away at the cost of an increase in plan length (Nebel 2000). We are not aware of any implementation of this approach, but we experiment with it here. The compilation requires to introduce two auxiliary predicates for every ground sub-formula ϕ: P_ϕ represents the truth value of ϕ in the current state; and P'_ϕ is designed to be true iff ϕ has already been evaluated. Actions are introduced to evaluate ϕ provided P'_ψ is true for all sub-formulas ψ of ϕ; all regular action preconditions include P'_ϕ for the precondition and all effect conditions (this last bit is a small innovation here, going beyond the above-cited compilation which does not handle conditional effects); the effects of all regular actions set all P'_ϕ facts to false, thus forcing the planner to re-evaluate the relevant formulas in the next step.

Pre-Compilation 2: Getting Rid of the Overhead. Beyond this known result, we leverage derived predicates to compile formulas away *without* an increase in plan length. This is actually quite simple, and in principle (see below) works also at the original (non-grounded) PDDL level. For each complex sub-formula $\phi(\vec{x})$ occurring anywhere in the planning task, we introduce a new predicate $P_\phi(\vec{x})$ along with the derivation rule $r = P_\phi(\vec{x}) \leftarrow \phi(\vec{x})$, and we replace ϕ with P_ϕ everywhere except in the body of the new rule r. This results in a set of rules that is equivalent to a non-recursive, and therefore stratified, Datalog program with negation (Abiteboul, Hull, and Vianu 1995).

Implementation of our Pre-Compilations. However, PDDL 2.2 does not actually allow stratified Datalog with negation. For this and other pragmatic reasons, we decided to rely partly on the pre-processor of FF. Namely, we let that pre-process ground the eKAB-derived PDDL task, let it transform all formulas to negation normal form, and let it translate negative literals $\neg p$ to new atoms $not{-}p$. At that point, we take over and overwrite FF's original (naïve) DNF transformation. When using derived predicates, this results in negation-free rules compliant with PDDL 2.2. We then let FF continue with the remainder of its pre-process, and output the resulting representation into a PDDL file, which can then be given to any off-the-shelf planner.

4 Experiments

To evaluate the impact of the derived-predicate based pre-compiler (denoted DP), we compare with Nebel's (2000) pre-compilation (denoted Ne) and the unprocessed original PDDL files (denoted O). As basis for this comparison, we use FF and FD 20.06 (the newest version as of July 2021). With the consideration of FD, our results well reflect the performance of most classical planners existing today. The main bottleneck in our experiments being the PDDL processing, we also included FF as its processing techniques are still state-of-the-art, yet differ fundamentally from those of FD. We ran the commonly used baseline configurations (FF with standard parameters, and FD with parameters closely resembling FF). All experiments were run on a computer with an Intel Core i5-4590 CPU@3.30GHz processor, and run time and memory cutoffs of 600 seconds and 8 GBs.

Benchmarks. We contribute a benchmark collection for DL-Lite planning, consisting of 125 instances from a variety of sources. A detailed description can be found in the supplementary material. We include two scalable eKAB benchmark domains, *Robot* and *TaskAssign*, used in prior work (Calvanese et al. 2016; Stawowy 2016). We extend

		(a) # solved						(b) # PDDL processed						(c) PDDL processing time						(d) pre-comp. time	
		FF			FD			FF			FD			FF			FD				
Domain	#	O	Ne	DP	O	Ne	DP	O	Ne	DP	O	Ne	DP	O	Ne	DP	O	Ne	DP	Ne	DP
Robot	20	**20**	1	**20**	4	1	**20**	**20**	**20**	**20**	4	**20**	**20**				15.03	20.20	**10.09**	0.08	0.08
TaskAssign	20	1	1	15	3	1	**20**	1	10	15	3	10	**20**				0.81	27.17	**0.12**	34.13	36.34
Cats	20	14	14	**20**	14	11	**20**	14	**20**	**20**	14	**20**	**20**	34.41	0.03	**0.00**	68.34	39.76	**0.14**	0.07	0.07
Elevator	20	12	0	**20**	**20**	0	**20**	12	**20**	**20**	**20**	**20**	**20**	60.20	0.01	**0.00**	0.35	20.51	**0.29**	0.14	0.13
TPSA	15	7	5	5	**14**	4	5	7	5	5	**14**	4	5	**0.00**	14.05	0.05	**1.42**	352.93	1.83	24.60	24.53
VTA	15	**15**	6	**15**	**15**	4	13	**15**	6	**15**	**15**	4	13	**0.00**	10.12	0.34	**1.32**	351.18	304.23	2.63	0.13
VTA-Roles	15	5	4	5	**15**	0	5	6	4	5	**15**	0	5	2.92	25.26	**0.29**				49.88	49.15
Assembly	30	0	0	24	**30**	0	**30**	9	10	24	**30**	4	**30**	**0.00**	6.58	0.03	**0.22**	383.73	0.55	2.31	1.31
GridPlacement	20	5	1	17	6	2	**20**	5	**20**	**20**	6	**20**	**20**	41.35	0.23	**0.01**	49.80	29.06	**7.01**	0.03	0.02
Miconic	30	9	3	**13**	9	2	9	11	27	19	14	18	**30**				56.61	75.71	**0.33**	0.32	0.05
$\sum$	205	88	35	154	130	25	**162**	100	142	163	135	120	**183**	27.47	6.32	**0.09**	28.23	92.95	**18.61**	6.28	6.18

Table 1: Per-domain aggregated statistics. Best results are highlighted in **bold**. (a) Number of instances solved within resource limits. (b) Number of instances that passed the planners' PDDL processing step. (c) Average PDDL processing time (seconds) on instances successfully processed by all configurations, considering FF and FD individually. Instances are ignored if the processing time was less than 1 second in all configurations. (d) Average time (seconds) for the pre-compilations.

these with additional larger instances. We adapt the *VTA* (virtual travel agency) and *TPSA* (VOIP request) benchmarks from semantic web-service composition (Hoffmann et al. 2008) to the eKAB framework, also adding a third domain *VTA-Roles* where we included a more interesting ontology. Finally we created two new eKAB domains, *Cats* and *Elevator*, inspired by standard planning benchmarks. In addition we run experiments on PDDL benchmark domains, outside the eKAB context, as our pre-compilation techniques may be useful on any planning domain with complex action pre- and effect conditions. We used *Assembly* and *Miconic* with minor modifications, and we created a showcase domain, *GridPlacement*, specifically designed to contain challenging DNF transformations. The benchmarks[2] and pre-compilers[3] are available online.

Discussion. Table 1 gives a summary of the results. As indicated by the comparison of the O columns in (a) and (b), PDDL processing indeed constitutes the bottleneck in this benchmark collection. In almost every instance that was not solved, the planners have been terminated already during the construction of their internal planning task representation.

Table 1 (b) and (c) show that the DP pre-compilation can successfully reduce the overhead of both planners' PDDL input handling in nearly every domain. The only exception is in web-service composition, were the pre-compilation turned out detrimental, especially for FD. Besides an absence of complex conditions, in the web-service domains the actions assign predicates to previously unbound objects in the world. Therefore, by increasing the number of objects, the grounding and translation sizes grow drastically.

The PDDL processing advantages carry over to overall performance. FF and FD solve a significantly larger fraction of the DP pre-compiled instances than unprocessed ones.

In contrast, both planners could not exploit the previous pre-compilation approach, Ne, effectively. There are two reasons. First of all, the encoding of the formula evaluations resulted in a considerable blow-up of the input files. This overhead affects both planners' PDDL processing, and partly explains the difference between the Ne and DP columns in Table 1 (b) and (c). Comparing both planners, FF deals with the Ne results much more efficiently. While FF can process substantially more instances after the Ne pre-compilation than before, FD actually processes less. For one, it should be noted that, due to the particular compiler implementation, the structure of the generated PDDL files already correlate with FF's internal task representation to some extent. Moreover, FD's internal planning task representation differs fundamentally from that of FF. However, deriving this representation requires additional analysis steps that scale with the number of actions (yet not with derived predicates, which are treated separately). The comparatively large number of auxiliary actions introduced by the compilation significantly impedes FD's performance even in the smallest instances, which is reflected in the large average run times reported in Table 1 (c). The second and even more critical issue of the Ne pre-compiler is the obfuscation of the planning task's original structure. As alluded by Table 1 (a), both planners' searches run into serious troubles. The number of instances that could be solved by FF and FD after the pre-compilation drops substantially in every domain.

5 Conclusion

We demonstrated that planning with DL-Lite eKABs can be made feasible through pre-compilations producing PDDL more digestible for state-of-the-art planners. While planning with DL state axioms has been of long-standing interest, this is the first practical integration we are aware of.

This positive result paves the way for future research expanding this integration. Possible directions include the design of planning tools tailored to eKABs, as well as eKABs over more expressive ontology languages leveraging e.g. PDDL derived predicates.

[2]https://gitlab.perspicuous-computing.science/m.steinmetz/pddl-dllite-benchmarks.git

[3]https://gitlab.perspicuous-computing.science/a.kovtunova/moreflags2.git

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