

Pattern Field Classification with Style Normalized Transformation

Xu-Yao Zhang Kaizhu Huang Cheng-Lin Liu

National Laboratory of Pattern Recognition (NLPR)

Institute of Automation, Chinese Academy of Sciences, Beijing, China

{xyz, kzhuang, liucl}@nlpr.ia.ac.cn

Abstract

Field classification is an extension of the traditional classification framework, by breaking the i.i.d. assumption. In field classification, patterns occur as groups (fields) of homogeneous styles. By utilizing style consistency, classifying groups of patterns is often more accurate than classifying single patterns. In this paper, we extend the Bayes decision theory, and develop the Field Bayesian Model (FBM) to deal with field classification. Specifically, we propose to learn a Style Normalized Transformation (SNT) for each field. Via the SNTs, the data of different fields are transformed to a uniform style space (i.i.d. space). The proposed model is a general and systematic framework, under which many probabilistic models can be easily extended for field classification. To transfer the model to unseen styles, we propose a transductive model called Transfer Bayesian Rule (TBR) based on self-training. We conducted extensive experiments on face, speech and a large-scale handwriting dataset, and got significant error rate reduction compared to the state-of-the-art methods.

1 Introduction

Statistical pattern recognition usually assumes that the patterns are independently and identically distributed (i.i.d.). However, in practical environments, patterns often occur as homogeneous groups (fields) generated by the same source. Moreover, the style of each group is consistent, implying statistical dependencies among patterns.

1.1 Field Classification

For a classification problem with feature space $x \in \mathbb{R}^d$, and label space $y \in \{1, \dots, M\}$ (M is the number of classes), we have the following definition:

Definition 1 Denote a group of patterns and the corresponding labels as

$$f_i = \{x_1^i, x_2^i, \dots, x_{n_i}^i\}, \quad c_i = \{y_1^i, y_2^i, \dots, y_{n_i}^i\}. \quad (1)$$

If all the patterns in f_i come from the same source with a consistent style, we call f_i a **field-pattern** with field-length

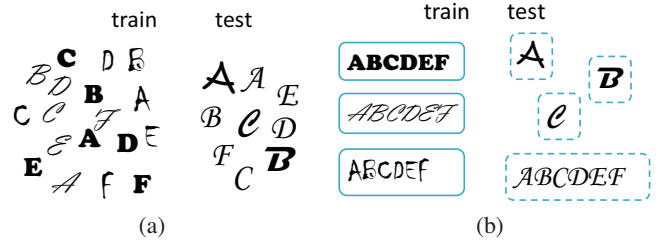


Figure 1: (a) Traditional classification with i.i.d. assumption; (b) field classification with group information.

n_i , and c_i is the **field-class**. Note that when $n_i = 1$, the field reduces to a **singlet**.

The patterns in a field are assumed to come from a common source. For example, in handwriting recognition, a field-pattern is a group of characters produced by a certain writer with his/her individual writing style; in face recognition, face images can appear as different groups according to different poses or illumination conditions; in speech recognition, different speakers have different accents. These situations provide important group (field) information. The definition of field breaks the traditional i.i.d. assumption:

- Within each field, the patterns are NOT independent.
- Different fields are NOT identically distributed.

As the style in a single field is consistent, different fields usually have great style variations. The purpose of *field classification* is to train a classifier on the labeled field-patterns $\{f_i, c_i\}_{i=1}^N$ (N is the number of training fields) for predicting the field-class of a new field-pattern (assigning labels for a group of patterns simultaneously). Note that a new field-pattern unnecessarily enjoys the same style as the training field-patterns, which means style transfer exists between the training and test fields. This makes the problem even more challenging.

In the traditional i.i.d. based classification framework, the patterns are classified one at a time (Figure 1(a)), known as *singlet classification*. On the contrary, in *field classification*, a group of patterns are classified simultaneously (Figure 1(b)). By utilizing style consistency in each field, field classification will give higher accuracy than singlet classification.

1.2 Previous Work

Despite its importance, the field classification problem has been rarely studied in the literature. [Sarkar and Nagy, 2005] proposed a style mixture model which assumes a fixed number (say K) of styles across all the patterns. Under each style, the patterns are i.i.d. The distribution of a field-pattern is a mixture of the K styles. On one hand, the style number needs to be fixed before hand; on the other hand, for a newly coming field-pattern of different style from the K styles, this model does not promise good performance. Another related model was proposed by assuming Gaussian field-class-conditional distribution [Veeramachaneni and Nagy, 2005]. However, the model is merely valid for the Gaussian distribution, and its performance is rather limited due to its computational inefficiency. [Tenenbaum and Freeman, 2000] proposed a bilinear model to separate the style and content (class) information of patterns. However, this model can only predict labels for a field. When the patterns are coming one at a time (singlet), the model becomes unavailable. In addition, the optimization of this model requires the SVD decomposition of an $Nd \times M$ matrix, which is computationally inefficient when the number of classes M or the number of fields N is large.

1.3 Our Model

In contrast to previous works, in this paper, we extend the Bayes decision theory under two reasonable assumptions and develop the Field Bayesian Model (FBM) to deal with field classification. More specifically, we propose to learn a Style Normalized Transformation (SNT) for each field. Via the SNTs, the data of different fields are transformed to a uniform style space (i.i.d. space), where the traditional Bayesian classification model can apply. Our model is a general framework. Under this framework, we have shown how a multivariate Gaussian density model can be modified for field classification and achieve surprisingly good performance. Interestingly, the bilinear model for separating style and content [Tenenbaum and Freeman, 2000] is very similar to a special case under our framework. We have developed a lot of decision rules for classification on a newly coming single pattern or a field-pattern even if it shares no common styles with the training field-patterns (style transfer). We conducted extensive experiments on face and speech data as well as a large-scale handwriting dataset (with 3,755 classes and around 495K patterns). The experimental results are highly encouraging: we got significant error rate reduction compared to the state-of-the-art methods.

1.4 Related Topic

We note that field classification is also related to Multiple Task Learning (MTL) [Caruana, 1997] and Transfer Learning (TL) [Pan and Yang, 2010]. However, key differences exist in that our field classification model merely learns a single classifier, while MTL learns multiple classifiers (one for each task). In addition, while concept transfer is the main focus in TL, our proposed model cares about the same concept but with style transfer. Field classification is also closely related to classifier adaptation, where we should adapt the classifier from a style-independent domain to a style-specific domain [Zhang and Liu, 2011].

2 Field Bayesian Classification

The main idea of applying the Bayes decision theory to field classification is to assign the field-pattern to the field-class of maximum a posteriori (MAP) probability, which can be computed by the Bayes formula:

$$\begin{aligned} p(c|f) &= \frac{p(c)p(f|c)}{p(f)} \\ &= \frac{p(y_1, \dots, y_n)p(x_1, \dots, x_n|y_1, \dots, y_n)}{p(x_1, \dots, x_n)}. \end{aligned} \quad (2)$$

Here we omit the field index i and use f and c to represent a general field-pattern and field-class. The key problem is to define the field-class prior probabilities $p(c)$ and field-class-conditional probability distributions $p(f|c)$. Based on two reasonable assumptions as follows, we derive the Field Bayesian Model (FBM), develop the optimization algorithm, and provide special cases for practical purpose.

2.1 Basic Assumptions

The consistency of style in each field is known as *style context* [Veeramachaneni and Nagy, 2007], which is different from the *linguistic* and *spatial* context. To make full use of the style context, we have the following assumptions.

Assumption 1

$$p(c) = p(y_1, y_2, \dots, y_n) = p(y_1)p(y_2) \cdots p(y_n). \quad (3)$$

We assume no higher order linguistic dependence than the prior class probabilities. This means we only care about the style context in each field. Linguistic contexts such as morphological and lexical context (already widely used in optical character recognition and automated speech recognition) are not our focus, but are usable in field classification if needed.

Since the number of field-class grows exponentially with the basic class number (for an M -class problem with field-length n , there are totally M^n field-classes), we cannot define a distribution for each field-class. In light of this, we make the following assumption.

Assumption 2

$$\begin{aligned} p(f_i|c_i) &= p(x_1^i, \dots, x_{n_i}^i | y_1^i, \dots, y_{n_i}^i) \\ &= \prod_{j=1}^{n_i} p(g_i(x_j^i) | y_j^i). \end{aligned} \quad (4)$$

Here we assume that under certain field-specific class-independent transformation $g_i(x)$, the patterns in each field become class-conditionally independent. This means after the transformation, the data of different fields are transferred to a uniform style space, where all the patterns are i.i.d. We call this process Style Normalized Transformation (SNT). A consequence of this assumption is the order independence, implying that under any permutation of the order of patterns in a field, the joint conditional probability is not changed. In other words, we only care about the style context in each field, while the spatial context like feature dependence between adjacent patterns, which could be captured by HMM and other models, is not our focus.

2.2 Main Work

From Assumption 2, we only need to define the single-class conditional probability distributions $p(x|y)$ and the Style Normalized Transformation $g_i(x)$ for each field. In this paper, we assume the SNT to be an affine transformation (covering rotation, scaling, shear and shift transformations) $g_i(x) = A_i^\top x + b_i$, where $A_i \in \mathbb{R}^{d \times d}$, $b_i \in \mathbb{R}^d$ are the parameters.

Model Definition

Given labeled field-patterns $\{f_i, c_i\}_{i=1}^N$, we learn the single-class conditional probability distributions $p(x|y)$ and the field-specific SNT $\{A_i, b_i\}_{i=1}^N$ simultaneously. The likelihood function of the training data is

$$\mathcal{L} = \prod_{i=1}^N p(f_i|c_i) = \prod_{i=1}^N \prod_{j=1}^{n_i} p(A_i^\top x_j^i + b_i|y_j^i). \quad (5)$$

The parameters are estimated such that the likelihood function is maximized. Equivalently, the negative log-likelihood is minimized:

$$\mathcal{NLL} = - \sum_{i=1}^N \sum_{j=1}^{n_i} \log p(A_i^\top x_j^i + b_i|y_j^i). \quad (6)$$

Directly minimizing $\mathcal{NLL}$ will lead to over-fitting. To alleviate this, we adopt a regularization term on the SNTs.

Problem 1 Field Bayesian Model (FBM)

$$\min_{p, \{A_i, b_i\}} \mathcal{NLL} + \sum_{i=1}^N \mathcal{R}(A_i, b_i), \quad (7)$$

where the regularization term is

$$\mathcal{R}(A, b) = \beta \|A^\top - I\|_F^2 + \gamma \|b\|_2^2. \quad (8)$$

Here I is the $d \times d$ identity matrix. The first term of $\mathcal{R}$ is to constrain the deviation of A from the identity matrix, and the second term is to constrain the deviation of b from the zero vector. Setting $\beta = \gamma = +\infty$ will lead to $A_i = I, b_i = 0, \forall i$, implying no style variation. Hence, the FBM model seeks a balance between style transfer and non-transfer, which will give better generalization performance. Under the field-specific style normalized transformation (SNT), we can learn the style-invariant Bayesian model which is more relevant to the classification content. That means we separate the style and the content by the SNT and underlying Bayesian model.

Prediction on Future Patterns

Before we dive into solving the above optimization problem of FBM (7), we first introduce how to use the model to predict the labels of single patterns and field-patterns. We assume equal a priori probabilities as usually.

Classification for Singlet

We can use the traditional Bayes Decision Rule (BDR):

$$y = \arg \max_y p(x|y). \quad (9)$$

In order to make use of the SNTs (on training field-patterns) learned before, we propose a Voted Decision Rule (VDR):

$$y = \arg \max_y \sum_{i=1}^N p(A_i^\top x + b_i|y). \quad (10)$$

This is the classification rule based on feature space perturbation.

Classification for Field

Different from the traditional singlet classification, field classification is to assign labels to a group of patterns drawn from the same source (a new field). If we already know the SNT parameters $\{A_0, b_0\}$ of this field, e.g., we know exactly that the new field has the same style with one of the training fields, the patterns in the field can be classified one at a time by

$$y = \arg \max_y p(A_0^\top x + b_0|y), \quad (11)$$

we call this Field Decision Rule (FDR).

If the newly coming field-pattern $f = \{x_1, \dots, x_n\}$ has an unseen style, we should predict the field-class $c = \{y_1, \dots, y_n\}$ and simultaneously estimate the SNT parameters $\{A, b\}$ via minimizing the negative log-likelihood:

$$\widehat{\mathcal{NLL}} = - \sum_{j=1}^n \log p(A^\top x_j + b|y_j). \quad (12)$$

We also adopt the same regularization as described above to avoid over-transfer. In short, the classification task can be formulated as a learning problem as follows.

Problem 2 Transfer Bayesian Rule (TBR)

$$\min_{\{y_j\}, A, b} \widehat{\mathcal{NLL}} + \mathcal{R}(A, b). \quad (13)$$

This is a transductive model, where we transfer the Bayesian model to the new style via SNT $\{A, b\}$, and simultaneously deduce the labels $\{y_1, \dots, y_n\}$. Note we can still apply TBR after FDR (FDR+TBR), which means TBR is used to the transformed samples ($A_0^\top x + b_0$). This is to learn an additional SNT, which can capture the style change over times.

Optimization

We solve the FBM and TBR problems using the alternating optimization algorithm, which gives a straightforward interpretation of our models.

For optimizing the FBM (7), the class-conditional distributions (classifier parameters) estimation and the SNT parameters estimation are alternated iteratively. When we fix the SNT parameters $\{A_i, b_i\}$, it becomes the problem of parameter estimation of a traditional Bayesian model; when we fix the class-conditional distributions $p(x|y)$, the problem can be decomposed into N independent optimization problems:

Problem 3 Style Normalized Transformation Learning

$$\min_{A, b} - \sum_{j=1}^n \log p(A^\top x_j + b|y_j) + \mathcal{R}(A, b). \quad (14)$$

Here we omit the field index i . The SNT learning is applied for all the training fields.

For optimizing the TBR (13), the field-class deduction and the SNT parameters estimation are alternated iteratively. When we fix the SNT parameters $\{A, b\}$, it becomes the problem of classifying n patterns independently by the FDR rule; when we fix the labels $\{y_1, \dots, y_n\}$, the problem is to learn

an SNT, which is the same as (14). The alternating optimization in this situation can also be viewed as self-training, since each step of parameters updating is based on the labels deduced in the previous step.

Remarks. The key problem is to solve the SNT model (14). After we solve (14), we can use the alternating optimization as described above to solve the FBM (7) or TBR (13). When the optimization problem is jointly convex with all the parameters (as observed in the special case in Section 2.3), the alternating optimization is guaranteed to find the global solution. In the non-convex situation, we can still find a good-enough local minimum via the alternating optimization. A suitable initialization can be made as $A_i = I, b_i = 0, \forall i$ for FBM and $A = I, b = 0$ for TBR.

2.3 Special Cases

While our framework can be easily applied to other probabilistic models, in this section, we focus on the behavior of FBM and TBR under the multivariate Gaussian class-conditional probability distribution

$$p(x|\theta_k) = \frac{\exp[-\frac{1}{2}(x - \mu_k)^\top \Sigma_k^{-1}(x - \mu_k)]}{(2\pi)^{d/2} |\Sigma_k|^{1/2}}, \quad (15)$$

where $\theta_k = \{\mu_k \in \mathbb{R}^d, \Sigma_k \in \mathbb{R}^{d \times d}\}$ denotes the set of parameters for class k ($k = 1, \dots, M$).

Now the SNT problem (14) becomes:

$$\min_{A,b} \mathcal{R}(A,b) + \frac{1}{2} \sum_{j=1}^n d_m(A^\top x_j + b, \mu_{y_j}, \Sigma_{y_j}), \quad (16)$$

where $d_m(x, \mu, \Sigma) = (x - \mu)^\top \Sigma^{-1}(x - \mu)$ is the Mahalanobis distance. This is a convex quadratic programming (QP) problem which has a closed-form solution. However, to solve this model, we should compute the inverse of a $d^2 \times d^2$ matrix, which is intractable when d is large. Moreover, it is also practically difficult to achieve a precise estimation of the covariance matrix. Therefore, we consider two special cases.

The first one is based on the assumption that $\Sigma_k = I, k = 1, \dots, M$. This leads to the Nearest Class Mean (NCM) classifier in the traditional Bayesian framework. Now (16) becomes:

$$\min_{A,b} \mathcal{R}(A,b) + \frac{1}{2} \sum_{j=1}^n \|A^\top x_j + b - \mu_{y_j}\|_2^2. \quad (17)$$

This is a convex QP problem. Moreover, in this setting the FBM (7) problem is also a convex QP, implying that the alternating optimization algorithm described in Section 2.2 is guaranteed to find the global optimum. We call this model Field Nearest Class Mean (field-NCM). This model is closely related to the bilinear model used to separate style and content [Tenenbaum and Freeman, 2000], with the difference that our model is convex and we adopt a regularization term to avoid over-transfer.

We also consider another special case based on the K-L transformation of the covariance matrix [Kimura *et al.*, 1987].

$$\Sigma = \Phi \Lambda \Phi^\top, \quad (18)$$

where $\Lambda = \text{diag}[\lambda_1, \dots, \lambda_d]$ with $\lambda_t, t = 1, \dots, d$, being the eigenvalues (ordered in non-increasing order) of Σ , and $\Phi = [\phi_1, \dots, \phi_d]$ with $\phi_t, t = 1, \dots, d$, being the corresponding eigenvectors. In most cases, the estimation of the minor eigenvalues are affected by noises or lack of samples. Therefore we only keep the largest T eigenvalues ($T < d$). Under this situation, we can change the Mahalanobis distance used in (16) to the projection distance by computing the Euclidean distance between the original point and its projection on the principal axes. The projection of point x onto the T principal axes of Σ is

$$\mathcal{P}(x, \Sigma, \mu) = \sum_{t=1}^T \alpha_t \phi_t + \mu, \quad (19)$$

where

$$\alpha_t = \min \left\{ \delta \sqrt{\lambda_t}, \max \left\{ \phi_t^\top (x - \mu), -\delta \sqrt{\lambda_t} \right\} \right\}. \quad (20)$$

We adopt a hyper-parameter $\delta \geq 0$ to constrain the deviation of the projection point from the class-mean (when $\delta = 0$, $\mathcal{P}(x, \Sigma, \mu) = \mu$).

Therefore, we can approximate (16) by:

$$\min_{A,b} \mathcal{R}(A,b) + \frac{1}{2} \sum_{j=1}^n \|A^\top x_j + b - \mathcal{P}(x_j, \Sigma_{y_j}, \mu_{y_j})\|_2^2. \quad (21)$$

Since under this situation, the classification boundary is quadratic, we call this model Field Quadratic Discriminant Function (field-QDF).

The SNT learning problems of the field-NCM (17) and the field-QDF (21) are both convex QPs, which take the general form as follows:

$$\min_{A,b} \frac{1}{2} \sum_{j=1}^n \|A^\top x_j + b - s_j\|_2^2 + \beta \|A^\top - I\|_F^2 + \gamma \|b\|_2^2, \quad (22)$$

where $s_j = \mu_{y_j}$ for (17) and $s_j = \mathcal{P}(x_j, \Sigma_{y_j}, \mu_{y_j})$ for (21). This problem has a closed-form solution:

$$A^\top = QP^{-1}, \quad b = \frac{\bar{s} - A^\top \bar{x}}{n + 2\gamma}, \quad (23)$$

where

$$\begin{aligned} Q &= \sum_{j=1}^n s_j x_j^\top + 2\beta I - \frac{1}{n + 2\gamma} \bar{s} \bar{x}^\top, \\ P &= \sum_{j=1}^n x_j x_j^\top + 2\beta I - \frac{1}{n + 2\gamma} \bar{x} \bar{x}^\top, \\ \bar{s} &= \sum_{j=1}^n s_j, \quad \bar{x} = \sum_{j=1}^n x_j. \end{aligned} \quad (24)$$

The hyper-parameter β, γ acts as a tradeoff between style transfer and non-transfer. Considering the influence of data scaling, we set them as:

$$\beta = \frac{\tilde{\beta}}{2d} \|\text{diag}(\sum_{j=1}^n x_j x_j^\top)\|_1, \quad \gamma = \frac{n}{2} \tilde{\gamma}. \quad (25)$$

Where $\tilde{\beta}$ and $\tilde{\gamma}$ can be selected from [0,3] effectively via cross-validation or other methods.

3 Experiments

We conducted a series of experiments on three benchmark datasets (face, speech and handwriting).

The first purpose is to compare singlet classification (the patterns are classified one at a time) with field classification (a group of patterns with the same style are classified simultaneously). We choose the best decision rule for each case: BDR (9) and VDR (10) for singlet classification; FDR (11), TBR (13), and FDR+TBR for field classification. Note that the FDR assumes the test field has the same style with one of the training fields and the corresponding training field is known, and therefore, we used it only on the multi-writer handwriting dataset.

The second purpose is to compare field Bayesian models with: (1) two field models: the style mixture model [Sarkar and Nagy, 2005] and the bilinear model [Tenenbaum and Freeman, 2000]; (2) traditional state-of-the-art methods in three distinct domains. In order to make a fair comparison, the distribution of each mixture component in the style mixture model is assumed to be the Gaussian distribution with identity covariance matrix. The EM algorithm used in the bilinear model is initialized by the nearest class mean classifier.

3.1 Face Recognition under Different Poses

The pose database [Gourier *et al.*, 2004] consists of the images of 15 persons. For each person with zero vertical pose angle, we used 13 horizontal pose angles varying from -90 to 90 degree (interval 15 degree) in our experiment, in total 195 face images. All the images are resized to be 48×36 pixels. The dimensionality is hence equal to 1728. We show the face images of two persons in Figure 2. Considering the 15 images of each pose as a field, we used the images of the 1-8 poses (the 1-8 columns) as training data, and tested on the remaining images (9-13 columns).



Figure 2: Face images of two persons under 13 different poses.

	NCM	Style Mixture	Bilinear	field-NCM
Singlet	40.00%	30.00%	—	25.33% (VDR)
Field	40.00%	26.67%	40.00%	21.33% (TBR)

Table 1: Error rates of different models on the face database. The bilinear model cannot be used for singlet classification.

For a benchmark comparison, we first implemented the Fisherface model (FDA with subspace dimensionality 14), which gave the error rate 30.67% by the nearest class mean (NCM) classifier. To speed up computation for other methods, we reduced the dimensionality of images to 100 by PCA. The results are shown in Table 1. Due to a fixed number of mixture components, the style mixture model cannot transfer to new styles very well. The bilinear model cannot be applied for singlet classification. Moreover, the performance is deteriorated because the field-length was too small (there were

only 15 images for each pose). However, our field-NCM with TBR can still give the best result, because a regularization term is adopted to avoid over-transfer.

3.2 Multi-speaker Vowel Classification

We used a benchmark speech dataset¹. This dataset consists of 11 vowels uttered by 15 speakers of British English, and there are six samples per speaker per vowel. Each sample vector consists of 10 log-area parameters, a standard vocal tract representation computed from a linear predictive coding analysis of the digitized speech. We follow the same setting used in [Tenenbaum and Freeman, 2000] and used the data of the 1-8 (9-15) speakers as the training set (test set). Each speaker is considered as a field which has a specific accent.

Classifier	Error rate
Multilayer perceptron	49%
Radial basis function network	47%
1-nearest neighbor	44%
Discriminant adaptive nearest neighbor	38.3%

	NCM	Style Mixture	Bilinear	field-NCM
Singlet	49.35%	46.11%	—	39.39% (BDR)
Field	49.35%	44.15%	22.70%	21.65% (TBR)

Table 2: Error rates of different methods on the vowel classification data, the first four results are copied from [Tenenbaum and Freeman, 2000] due to the same experimental setting. The bilinear model cannot be used for singlet classification.

The results are reported in Table 2. As observed, without style modeling, the best result is obtained by the discriminant adaptive nearest neighbor (DANN) classifier [Hastie and Tibshirani, 1996]. For singlet classification, the field-NCM with BDR performs comparably with the DANN classifier. Moreover, for field classification, the field-NCM with TBR gave 21.65% error rate, which is better than the best result of the bilinear model reported by [Tenenbaum and Freeman, 2000].

3.3 Multi-writer Handwriting Recognition

In this section, we consider a large-scale (3,755 classes) on-line Chinese character recognition problem. We used the CASIA-OLHWDB [Liu *et al.*, 2011] to evaluate our models. Specifically, we used the samples of 100 writers (no.1101 – 1200) from the database. For each writer, there are about 3,755 isolated characters (which we used as the training set), and about 1,200 characters extracted from handwritten texts (which we used as the test set). Hence, the total number of patterns is over $495K$ ($375K$ for training and $120K$ for test). Because persons tend to write texts more cursively than isolated characters, the test data (samples from handwritten texts) show significant style transfer from the training data (isolated characters).

For representing a character sample, we used a benchmark feature extraction method [Liu and Zhou, 2006]: 8-direction histogram feature extraction combined with pseudo 2D bi-moment normalization. The feature dimensionality is 512

¹The data were collected by David Deterding and are now available at <http://archive.ics.uci.edu/ml>.

which is further reduced to 160 by FDA. Each writer is considered as a field.

	NCM	Style Mixture	field-NCM
Singlet	18.18%	19.88%	16.07% (VDR)
Field	18.18%	17.93%	12.90% (FDR+TBR)

Table 3: Average error rates of different methods on the 100 writers.

	field-QDF			
MQDF	VDR	FDR	TBR	FDR+TBR
12.17%	11.31%	10.24%	9.91%	8.89%

$\underbrace{\hspace{10em}}$
Singlet Classification
 $\underbrace{\hspace{10em}}$
Field Classification

Table 4: Average error rates of MQDF and field-QDF models.

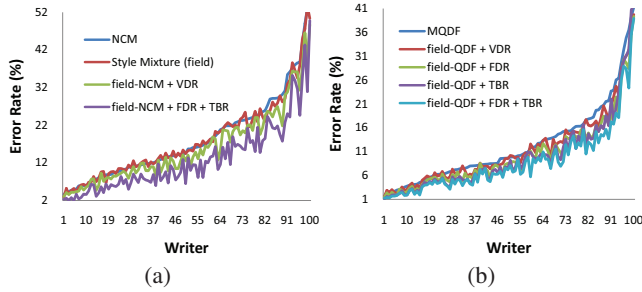


Figure 3: Error rates of different methods on the 100 writers, which are sorted in the increasing order of (a) the NCM error rates, (b) the MQDF error rates.

We did not report the performance of the bilinear model [Tenenbaum and Freeman, 2000] here because it is extremely time-consuming on the large-scale dataset. We report in Table 3 the average error rates of the traditional nearest class mean (NCM) classifier, the style mixture model and our field-NCM, while the error rates for each of the 100 writers are plotted in Figure 3(a). It is seen that the field-NCM combined with VDR achieves the best result for singlet classification. Moreover, the field-NCM combined with FDR+TBR gives the best result for field classification. It is noteworthy that the field-NCM combined with FDR+TBR performs always better than the other methods on all the 100 writers. This again shows the superiority of our proposed model.

The proposed field Bayesian model is a general framework, which can be combined with many probabilistic models. We also compared our field-QDF model with the state-of-the-art classifier MQDF (modified quadratic discriminant function) [Kimura *et al.*, 1987] in Chinese character recognition. The average results and specific results for each writer are shown in Table 4 and Figure 3(b). We can see that for singlet classification, the field-QDF with VDR outperforms the MQDF; and for field classification, the error rates of FDR, TBR and FDR+TBR decrease gradually, by learning the style information with increasing attention.

4 Conclusion and Future Work

In this paper, we consider the field classification problem, where the patterns appear as groups of homogeneous styles.

To make full use of the style context in each field, we propose to learn a Style Normalized Transformation (SNT) for each field. With the SNTs, the data of different fields are transformed into an i.i.d. space, where the traditional Bayesian model can be applied effectively. In order to transfer the learned model to an unseen style, we propose the Transfer Bayesian Rule (TBR), which is a transductive model based on self-training, to predict the labels and the SNT parameters simultaneously from the test data. Experiments on three benchmark databases (face, speech and handwriting) demonstrated that our field models can reduce the error rates significantly compared with the other state-of-the-art classifiers. Our future work involves the extension to more complex class-conditional distributions other than the Gaussian distribution and the exploration of field classification using non-probabilistic classifiers such as the SVM.

Acknowledgements

This work was supported by the National Natural Science Foundation of China (NSFC) under grants No. 60825301, No. 60933010 and No. 61075052.

References

- [Caruana, 1997] Rich Caruana. Multitask learning. *Machine Learning*, 1997.
- [Gourier *et al.*, 2004] N. Gourier, D. Hall, and J. Crowley. Estimating face orientation from robust detection of salient facial features. In *ICPR*, 2004.
- [Hastie and Tibshirani, 1996] T. Hastie and R. Tibshirani. Discriminant adaptive nearest neighbor classification. *IEEE Trans. PAMI*, 1996.
- [Kimura *et al.*, 1987] F. Kimura, K. Takashina, S. Tsuruoka, and Y. Miyake. Modified quadratic discriminant functions and the application to Chinese character recognition. *IEEE Trans. PAMI*, 1987.
- [Liu and Zhou, 2006] C.-L. Liu and X.-D. Zhou. Online Japanese character recognition using trajectory-based normalization and direction feature extraction. In *IWFHR*, 2006.
- [Liu *et al.*, 2011] C.-L. Liu, F. Yin, D.-H. Wang, and Q.-F. Wang. CASIA online and offline Chinese handwriting databases. In *ICDAR (submitted)*, 2011.
- [Pan and Yang, 2010] S.J. Pan and Q. Yang. A survey on transfer learning. *IEEE Trans. KDE*, 2010.
- [Sarkar and Nagy, 2005] P. Sarkar and G. Nagy. Style consistent classification of isogenous patterns. *IEEE Trans. PAMI*, 2005.
- [Tenenbaum and Freeman, 2000] J.B. Tenenbaum and W.T. Freeman. Separating style and content with bilinear models. *Neural Computation*, 2000.
- [Veeramachaneni and Nagy, 2005] S. Veeramachaneni and G. Nagy. Style context with second-order statistics. *IEEE Trans. PAMI*, 2005.
- [Veeramachaneni and Nagy, 2007] S. Veeramachaneni and G. Nagy. Analytical results on style-constrained bayesian classification of pattern fields. *IEEE Trans. PAMI*, 2007.
- [Zhang and Liu, 2011] X.-Y. Zhang and C.-L. Liu. Style transfer matrix learning for writer adaptation. In *CVPR (to appear)*, 2011.