

An Implicit Characterization of the Polynomial-Time Decidable Sets by Cons-Free Rewriting

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Abstract. We define the class of *constrained cons-free* rewriting systems and show that this class characterizes P , the set of languages decidable in polynomial time on a deterministic Turing machine. The main novelty of the characterization is that it allows very liberal properties of term rewriting, in particular non-deterministic evaluation: no reduction strategy is enforced, and systems are allowed to be non-confluent.

We present a class of constructor term rewriting systems that characterizes the complexity class P —the set of languages decidable in polynomial time on a deterministic Turing machine. The class is an analogue of similar classes in functional programming that use *cons-freeness*—the inability of a program to construct new compound data during its evaluation—to characterize a range of complexity classes, including L and P [1, 2], and for higher-order programs $PSPACE$ and hierarchies of exponential space and time classes [3]. The primary novelty is that while previous work has crucially utilized the deterministic evaluation (in particular, call-by-value) and typing disciplines usually found in functional programming languages, we allow for the full rewriting relation to be used, and we allow non-orthogonal systems.

The ability to use non-orthogonal and non-confluent systems means that we do not have access to standard results on orthogonality such as normalization or finite developments of sets of redexes, and we cannot appeal to results connecting deterministic Turing machines to confluent rewriting [4], or to functional programming without overlapping function declarations [1, 3, 5]. These are the main reasons that our proofs are substantially more difficult than similar work by Bonfante showing that introducing non-determinism to a cons-free functional language characterizes P [2].

Related work

The original impetus for devising languages or calculi characterizing complexity classes was the seminal work of Bellantoni and Cook [6] who introduced a scheme of constrained recursion in function declarations in applicative languages, called *safe recursion*, later followed by similar constraints, *tiered* or *ramified recursion*

[7, 5]. Roughly, the idea of this approach is to partition the arguments of every function into “normal” and “safe” variables, where only normal variables are used for recursion. Our approach contains no such constraints. Other approaches have used type systems, typically based on variants of linear logic [8–10]; in contrast, we employ no type system, but enforce a simple syntactic criterion to constrain copying.

Much effort has been directed towards performing *polynomial complexity analysis* in term rewriting, that is, devising methods to automatically infer that specific TRSs have polynomial runtime or derivational complexity. This work has almost invariably considered analogues of call-by-value semantics, e.g. innermost evaluation; in this vein of research, several reduction orders have been defined such that TRSs are compatible with the orders iff they have polynomial runtime complexity [11, 12]. The main difference with our work is that we do not necessarily enforce polynomial runtime complexity, but use a form of memoization to ensure that our class of systems can be evaluated in polynomial time on a Turing machine. For full rewriting with no constraints on reduction strategy, Avanzini and Moser [4] have shown that a *confluent* constructor rewriting system characterizes a language in P iff it has polynomial runtime complexity, that is, if the maximal reduction lengths starting from appropriately formed terms are polynomially bounded. Most research in this vein has focused on *functional* complexity classes, whereas we only consider the case of decision problems; we believe our results can be extended to the function classes, but with some difficulty as input constructors may not be used as output constructors in cons-free systems.

The restriction to cons-free systems was originally developed in functional programming by Jones [1], [3] inspired by similar work by Goerdt in recursion-theoretic settings [13, 14], and leading to similar characterizations in other language paradigms [15], [2]. The primary difference between this work and ours is that we do not consider a particular reduction order, and work in a completely untyped setting, that is, the standard liberal setting of term rewriting; the cost of this freedom is that we need to enforce technical demands on our class of systems leading to *constrained* cons-free systems, rather than merely cons-free ones.

1 Constrained cons-free term rewriting systems

We presuppose basic knowledge about rewriting, corresponding to the introductory chapters of [16]. Throughout the text, we assume a denumerable set $\mathcal{X}$ of *variables*.

Let Σ be a signature (i.e., a function from a set $\mathcal{F}$ of *function symbols* to $\mathbb{N}$ which associates with every $f \in \mathcal{F}$ its arity $ar(f)$); we then denote by $\mathcal{T}(\Sigma)$ the set of terms built from Σ and $\mathcal{X}$. The set of ground terms over Σ is denoted by $\mathcal{T}_0(\Sigma)$. By abuse of language, if $\mathcal{F}_0$ is a set of function symbols, we will write also $\mathcal{T}(\mathcal{F}_0)$ instead of $\mathcal{T}(\Sigma|_{\mathcal{F}_0})$ (or $\mathcal{T}_0(\mathcal{F}_0)$ instead of $\mathcal{T}_0(\Sigma|_{\mathcal{F}_0})$). The set of positions in a term t is denoted by $Pos(t)$ (a position q is said to be below the position

p if $p \leq q$): if p is a position in a term t , then p determines the subterm $t|_p$ of t occurring at position p and the symbol $t(p)$ occurring in t at p . If s and t are terms, we write $s \leq t$ if s is a subterm of t ; note that $s \leq t$ iff $(\exists p \in \text{Pos}(t)) s = t|_p$. For any term t , we denote by $\text{Oc}(t)$ the set of variables occurring in t , that is, $\text{Oc}(t) = \{x \in \mathcal{X}; (\exists p \in \text{Pos}(t)) t(p) = x\}$ and, for any $x \in \mathcal{X}$, by $\text{Oc}(x, t)$ the number of occurrences of x in t , that is, $\text{Oc}(x, t) = \text{Card}(\{p \in \text{Pos}(t); t(p) = x\})$.

A *constructor TRS* is a term rewriting system (TRS) in which the set of function symbols $\mathcal{F}$ is partitioned into a set $\mathcal{D}$ of *defined* function symbols and a set $\mathcal{C}$ of *constructors*, such that for every rewrite rule $(l, r) \in \mathcal{R}$, the left-hand side l has the form $f(t_1, \dots, t_n)$ with $f \in \mathcal{D}$ and $t_1, \dots, t_n \in \mathcal{T}(\mathcal{C})$, the set of terms built from variables and constructors.

We introduce *cons-free TRS* that corresponds essentially to the functional programming language called “F+ro” (ro for “read-only”) in [17].

Definition 1. A cons-free TRS is a finite constructor TRS such that, for every rewrite rule (l, r) , for any $c(u_1, \dots, u_n) \leq r$ such that c is a constructor and $n > 0$, we have $c(u_1, \dots, u_n) \leq l$.

The functional programming languages considered in [3] and in [17] have a call-by-value semantics, and proofs generally assume terminating programs; in contrast, terms in (cons-free) term rewriting systems may be subjected to different reduction strategies, are not necessarily terminating, and terms may have more than one normal form. To obviate technical problems due to these facts, we restrict the class of term rewriting systems to the *constrained* cons-free term rewriting systems.

Definition 2. A cons-free TRS R is said to be constrained if there exists some subset $\mathcal{A} \subseteq \mathcal{D}$ such that, for any rule $(f(c_1, \dots, c_q), r) \in \mathcal{R}$ and for any $x \in \mathcal{X} \cap \{c_1, \dots, c_q\}$, we have:

- $(\forall p, p' \in \text{Pos}(r))(r(p) = x \Rightarrow (p' < p \Rightarrow r(p') \in \mathcal{A}))$
- and $f \in \mathcal{A} \Rightarrow \text{Oc}(x, r) \leq 1$.

Every variable occurring just below the root symbol of a left-hand side of a rule occur only below defined symbols of a certain kind that do not allow for non-linear recursion. Note that duplication may occur in constrained cons-free TRSs, both for variables that occur “deep” in a left-hand side (i.e., below constructor symbols), and for variables occurring just below the root of defined symbols not in the special subset $\mathcal{A} \subseteq \mathcal{D}$. E.g., if $f/1, g/2 \in \mathcal{D}$ and $c/1 \in \mathcal{C}$, the TRS $\{f(c(x)) \rightarrow g(x, x), g(c(x), y) \rightarrow y, g(c(x), c(y)) \rightarrow g(x, y)\}$ is constrained cons-free (set $\mathcal{A} = \{g\}$ or $\mathcal{A} = \{f, g\}$).

In Sections 2 and Section 3, we will prove some properties of cons-free term, respectively constrained cons-free TRSs that will allow for efficient simulation on Turing machines. The main aim of the two sections is to prove Proposition 1, respectively Corollary 1.

2 Computation in cons-free TRS

In this section, we introduce a class of “generalized terms”, and we show that any reduction sequence in a cons-free TRS from a ground term to a ground constructor term can be simulated by some “innermost” reduction sequence of such “generalized terms” (i.e. some sequence of $\triangleright$ -reductions).

We are given a cons-free TRS with $\mathcal{R}$ the set of rules, $\mathcal{D}$ the set of defined function symbols, and $\mathcal{C}$ the set of constructors. Moreover, for any $m \in \mathbb{N}$, we denote by $\mathcal{D}_m$ the set of defined function symbols of arity m and by $\mathcal{C}_m$ the set of constructors of arity m . We first set notations used in the remainder of the paper.

Notations: As usual, the reflexive transitive closure of a relation E is denoted by E^* . Throughout the text, $A \rightarrow B$ refers to the type of partial maps with domain A and co-domain B . If $f : A \rightarrow B$, we denote by $\text{dom}(f)$ the set of $x \in A$ such that $f(x)$ is defined and by $\text{im}(f)$ the set $f(\text{dom}(f)) = \{f(x) : x \in \text{dom}(f)\}$.

For any $t \in \mathcal{T}(\mathcal{D} \cup \mathcal{C})$, we denote by $|t|$ the *size* of t , i.e., $|x| = |c| = 1$ for all variables x and nullary $c \in \mathcal{D}_0 \cup \mathcal{C}_0$, and $|f(s_1, \dots, s_m)| = 1 + \sum_{i=1}^m |s_i|$ for $f \in \mathcal{D}_m \cup \mathcal{C}_m$.

Let $u, v, t \in \mathcal{T}(\mathcal{D} \cup \mathcal{C})$. We denote by $\mathbf{Seq}(u, v)$ the set of (finite) reduction sequences from u to v and we set $\mathbf{Seq}(u, -) = \bigcup_{v \in \mathcal{T}(\mathcal{D} \cup \mathcal{C})} \mathbf{Seq}(u, v)$. If $\rho_1 \in \mathbf{Seq}(t, u)$ and $\rho_2 \in \mathbf{Seq}(u, v)$, then we denote by $(\rho_1; \rho_2)$ the reduction sequence from t to v consisting in ρ_1 followed by ρ_2 .

For any reduction step $\rho : t \rightarrow_{C_0[], (l, r)} u$, for any occurrence $\langle v | C[] \rangle$ of v in $t = C[v]$, we denote by $\langle v | C[] \rangle \setminus \rho$ the set of descendants of $\langle v | C[] \rangle$ in u after ρ .

We denote by $\mathcal{U}_0$ the set of ground terms that may be written as $C[t_1, \dots, t_n]$ for some $n \in \mathbb{N}$ where $C[\cdot, \dots, \cdot]$ is an n -hole context over $\mathcal{D}$, and $t_1, \dots, t_n$ are ground terms over $\mathcal{C}$. Notice that, if $v \in \mathcal{U}_0$ and $v \rightarrow u$ in some cons-free TRS, then $u \in \mathcal{U}_0$.

For any $i \in \mathbb{N}$, we denote by Φ_i the set of i -hole contexts obtained by substituting exactly i distinct occurrences of constants in an element of $\mathcal{U}_0$ such that, for any hole, the unique path from the root to the hole passes through only elements of $\mathcal{D}$.

Recall that a semi-ring is an algebraic structure $(R, \cdot, +)$ satisfying the standard ring axioms with the exceptions that every element need not have a $+$ -inverse. Recall further that a semi-module is an algebraic structure satisfying the usual module axioms over a commutative semi-ring. We denote by $\mathbf{2}$ the semi-ring with exactly two elements 0 and 1, where $1 + 1 = 1$. Let $\mathcal{E}$ be some set. We denote by $\mathbf{2}\langle \mathcal{E} \rangle$ the free $\mathbf{2}$ -semi-module on $\mathcal{E}$. For any $V \in \mathbf{2}\langle \mathcal{E} \rangle$, we denote by $\text{Supp}(V)$ the unique $\mathcal{F} \subseteq \mathcal{E}$ such that $V = \sum_{v \in \mathcal{F}} v$. If $\mathcal{F} = \{v\}$, then we still denote by v the vector $\sum_{v \in \mathcal{F}} v \in \mathbf{2}\langle \mathcal{E} \rangle$.

We will use the notation $\mathbf{2}\langle \mathcal{E} \rangle$ either with $\mathcal{E} = \mathcal{T}_0(\mathcal{C})$ or $\mathcal{E} = \Delta$, the set of “generalized terms” defined just below. In those cases, an element of $\mathbf{2}\langle \mathcal{E} \rangle$ may be thought of as a “formal sum” of (generalized) terms, and $\text{Supp}(V)$ as the set of (generalized) terms occurring in the sum. A benefit of considering formal

sums instead of finite sets is that it allows to painlessly identify a term with the singleton containing this term. In later developments, we shall use the sum to track the possible reducts of subterms, i.e. each summand will correspond to a possible reduct.

Definition 3. For any $i \in \mathbb{N}$, we define Δ_i by induction on i :

- $\Delta_0 = \mathcal{T}_0(\mathcal{C})$;
- $\Delta_{i+1} = \Delta_i \cup \left(\bigcup_{m \in \mathbb{N}} \{f(U_1, \dots, U_m); f \in \mathcal{D}_m \text{ and } U_1, \dots, U_m \in \mathbf{2}\langle \Delta_i \rangle\} \right)$.

We set $\Delta = \bigcup_{i \in \mathbb{N}} \Delta_i$. For any $u \in \Delta$, we set $\text{level}(u) = \min \{i \in \mathbb{N}; u \in \Delta_i\}$.

Thus, e.g., if $\mathcal{D} = \{f/1, g/2\}$ and $\mathcal{C}' = \{s/1, n/0\}$, then $f(s(n) + s(s(n))) \in \Delta_1$ and $g(f(s(n)) + f(s(s(n))), s(n) + s(s(n))) \in \Delta_2$. Note further that $\mathcal{U}_0 \subseteq \Delta$ and every term on the form $C[c_1, \dots, c_m]$, where $C[\cdot, \dots, \cdot]$ is an m -hole context over $\mathcal{D}$ and $C_1, \dots, C_m \in \mathbf{2}\langle \mathcal{T}_0(\mathcal{C}) \rangle$, is an element of Δ .

Now, we want to define a notion of reduction on $\mathbf{2}\langle \Delta \rangle$: we will denote this reduction by $\triangleright$. First, we define an auxiliary binary relation $\triangleright_\Delta$. For any $r \in \mathcal{T}(\mathcal{D} \cup \mathcal{C}_0)$, we homomorphically extend the notation r^φ with $\varphi : \mathcal{X} \rightarrow \mathcal{T}(\mathcal{D} \cup \mathcal{C}_0)$ to any $\varphi : \mathcal{X} \rightarrow \mathbf{2}\langle \mathcal{T}_0(\mathcal{C}) \rangle$ such that $\text{Oc}(r) \subseteq \text{dom}(\varphi)$: instead of having $r^\varphi \in \mathcal{T}(\mathcal{D} \cup \mathcal{C}_0)$, we have $r^\varphi \in \mathbf{2}\langle \Delta \rangle$.

Definition 4. We define the relation $\triangleright_\Delta \subseteq (\Delta_1 \setminus \Delta_0) \times \mathbf{2}\langle \Delta \rangle$ as follows: $u \triangleright_\Delta V$ if, and only if, there exist $q \in \mathbb{N}$, $f \in \mathcal{D}_q$, $(f(c_1, \dots, c_q), r) \in \mathcal{R}$, $V_1, \dots, V_q \in \mathbf{2}\langle \mathcal{T}_0(\mathcal{C}) \rangle$ and $\varphi : \mathcal{X} \rightarrow \mathbf{2}\langle \mathcal{T}_0(\mathcal{C}) \rangle$ such that, for any $j \in \{1, \dots, q\}$, we have

- $\text{Oc}(c_j) \subseteq \text{dom}(\varphi)$ and $(c_j \notin \mathcal{X} \Rightarrow (\forall x \in \text{Oc}(c_j)) \varphi(x) \in \mathcal{T}_0(\mathcal{C}))$;
- and $V_j = c_j^\varphi$

and $u = f(V_1, \dots, V_q)$ and $V = r^\varphi$.

If $u \triangleright_\Delta V$, then U may be replaced by V inside a one-hole generalized context $C[\]$; this gives rise to a reduction step $C[u] \triangleright_{C[\]} C[V]$. We also write $C[u] \triangleright_{C[\]} C[0]$, i.e. whenever u is erased. However, in this last case, we will not count this step when we define the length of $\triangleright$ -reductions (see Definition 6). The set of one-hole generalized contexts is denoted by Θ_1 and is defined by setting $\Theta_1 = \bigcup_{i \in \mathbb{N}} \Delta_i^\square$, where $\Delta_i^\square$ is defined by induction on i :

- $\Delta_0^\square = \{U + \square; U \in \mathbf{2}\langle \Delta \rangle\}$;
- $\Delta_{i+1}^\square = \bigcup_{m \in \mathbb{N}} \left\{ \begin{array}{l} U + \\ f(U_1, \dots, U_m) \end{array} ; \begin{array}{l} U \in \mathbf{2}\langle \Delta \rangle, f \in \mathcal{D}_m \text{ and} \\ (\exists j \in \{1, \dots, m\})(U_j \in \Delta_i^\square \text{ and} \\ U_1, \dots, U_{j-1}, U_{j+1}, \dots, U_m \in \mathbf{2}\langle \Delta \rangle) \end{array} \right\}$.

More generally, we can define the set Θ_i of i -hole generalized contexts: if $i = 0$, then $\Theta_i = \mathbf{2}\langle \Delta \rangle$; if $i = 1$, then Θ_i is already defined. Now, for $i > 1$, if $D[\] \in \Theta_i$, then $D[\] = C[f(U_1, \dots, U_m)]$ with $C[\] \in \Theta_1$ and $U_1 \in \Theta_{i_1}, \dots, U_m \in \Theta_{i_m}$, $i_1 + \dots + i_m = i$, so the several holes have to be in the same summand.

Definition 5. Let $C[\] \in \Theta_1$. We define the binary relation $\triangleright_{C[\]}$ on $\mathbf{2}\langle \Delta \rangle$ as follows: for any $U, U' \in \mathbf{2}\langle \Delta \rangle$, we have $U \triangleright_{C[\]} U'$ if, and only if, there exist $u \in \Delta$ and $V \in \mathbf{2}\langle \Delta \rangle$ such that $U = C[u]$, $U' = C[V]$ and $(u \triangleright_\Delta V \text{ or } V = 0)$.

Then we define the binary relation $\triangleright$ on $\mathbf{2}\langle\Delta\rangle$ by writing (as usual) $U \triangleright V$ if, and only if, there exists $C[] \in \Theta_1$ such that $U \triangleright_{C[]} V$.

For any generalized term $t \in \Delta$, we denote by $\|t\|$ the maximum number of distinct summands occurring anywhere in t . In particular, if $t \in \Delta_0 = \mathcal{T}_0(\mathcal{C})$, we have $\|t\| = 1$. We generalize this notation to any element U of $\mathbf{2}\langle\Delta\rangle$ by setting

$$\|U\| = \begin{cases} 0 & \text{if } U = 0; \\ \max\{\|u\|; u \in \text{Supp}(U)\} & \text{otherwise.} \end{cases}$$

For any $k \in \mathbb{N}$, we denote by $\mathbf{2}\langle\Delta\rangle_k$ the set $\{U \in \mathbf{2}\langle\Delta\rangle; \|U\| \leq k\}$ and by $\triangleright_k$ the restriction of the binary relation $\triangleright$ to $\mathbf{2}\langle\Delta\rangle_k$, i.e. $\mathbf{2}\langle\Delta\rangle_k = \triangleright | \mathbf{2}\langle\Delta\rangle_k \times \mathbf{2}\langle\Delta\rangle_k$. For any $k \in \mathbb{N}$, the relation $\triangleright_k^*$ enjoys the following properties:

- For any $U, V \in \mathbf{2}\langle\Delta\rangle_k$, we have $U + V \triangleright_k^* V$.
- Let $q \in \mathbb{N}$. Let $W_1, \dots, W_q, V_1, \dots, V_q \in \mathbf{2}\langle\Delta\rangle_k$ such that $W_1 \triangleright_k^* V_1, \dots, W_q \triangleright_k^* V_q$. Then we have $\sum_{j=1}^q W_j \triangleright_k^* \sum_{j=1}^q V_j$. Moreover, for any $C[] \in \Theta_q$ such that $C[W_1, \dots, W_q] \in \mathbf{2}\langle\Delta\rangle_k$, we have $C[W_1, \dots, W_q] \triangleright_k^* C[V_1, \dots, V_q]$.

Definition 6. Let $k \in \mathbb{N}$ and let $U, V \in \mathbf{2}\langle\Delta\rangle$.

We denote by $\mathbf{Seq}_\Delta(U, V)$ (resp. $\mathbf{Seq}_{\Delta, k}(U, V)$) the set of finite sequences $(U_1, \dots, U_n) \in \mathbf{2}\langle\Delta\rangle^{<\infty}$ such that $U = U_1$, $V = U_n$ and, for any $i \in \{1, \dots, n-1\}$, we have $U_i \triangleright U_{i+1}$ (resp. $U_i \triangleright_k U_{i+1}$).

For any $(U_1, \dots, U_n) \in \mathbf{Seq}_\Delta(U, V)$, we denote by $\text{length}_\Delta((U_1, \dots, U_n))$ the integer $\text{Card}\left(\left\{i \in \{1, \dots, n-1\}; \begin{array}{l} (\exists C[] \in \Theta_1, u \in \Delta_1 \setminus \Delta_0, V \in \mathbf{2}\langle\Delta\rangle) \\ (u \triangleright_\Delta V, U_i = C[u] \text{ and } U_{i+1} = C[V]) \end{array}\right\}\right)$.

Definition 7. For any $(\rho, v, C[]) \in \mathbf{Seq} \times \mathcal{U}_0 \times \Phi_1$ such that $\rho \in \mathbf{Seq}(C[v], -)$, we define $\mathcal{R}(\rho, v, C[]) \subseteq \mathbf{Seq}(v, -)$ by induction on $\text{length}(\rho)$ as follows:

- if $\text{length}(\rho) = 0$, then $\mathcal{R}(\rho, v, C[]) = \{id_v\}$;
- if $\rho = C_0[u] \rightarrow_{C_0[], (l, r)} C_0[u']; \rho_0$ with $C_0[] = C[C']$, then $\mathcal{R}(\rho, v, C[]) = \{(v \rightarrow_{C', (l, r)} C'[u']; \rho'_0); \rho'_0 \in \mathcal{R}(\rho_0, C'[u'], C[])\}$;
- if $\rho = C_0[u] \rightarrow_{C_0[], (l, r)} C_0[u']; \rho_0$ and there is no $C'[] \in \Phi_1$ such that $C_0[] = C[C']$, then $\mathcal{R}(\rho, v, C[])$ is the set

$$\{id_v\} \cup \left(\bigcup_{\substack{C''[] \in \Phi_1 \\ \langle v | C''[] \rangle \in \langle v | C[] \rangle \setminus C_0[u] \rightarrow_{C_0[], (l, r)} C_0[u']}} \mathcal{R}(\rho_0, v, C'') \right)$$

and we set $\mathcal{N}(\rho, v, C[]) = \{c \in \mathcal{T}_0(\mathcal{C}); \mathcal{R}(\rho, v, C[]) \cap \mathbf{Seq}(v, c) \neq \emptyset\}$.

In other words, $\mathcal{N}(\rho, v, C[])$ is the set of constructor terms that are descendants of the occurrence $\langle v | C[] \rangle$ of v in $C[v]$ during the reduction ρ . Notice that in the case ρ is an innermost reduction sequence, the set $\mathcal{R}(\rho, v, C[])$ is a singleton.

Lemma 1. Let $m \in \mathbb{N}$. Let $E[] \in \Phi_m$. Let $u_1, \dots, u_m \in \mathcal{U}_0$. Let $c \in \mathcal{T}_0(\mathcal{C})$. Let $\rho \in \mathbf{Seq}(E[u_1, \dots, u_m], c)$. For any $l \in \{1, \dots, m\}$, let $U_l \in \mathbf{2}\langle\Delta\rangle$ such that $\mathcal{N}(\rho, u_l, E[u_1, \dots, u_{l-1}, \square, u_{l+1}, \dots, u_m]) \subseteq \text{Supp}(U_l)$. Then $E[U_1, \dots, U_m] \triangleright^* c$.

Definition 8. Let $t \in \mathcal{U}_0$. For any $u \in \Delta$, we define the relation $t \downarrow u$ by induction on $\text{level}(u)$ as follows:

- if $u \in \Delta_0$, then $t \downarrow u$ if, and only if, $t \rightarrow^* u$;
- if $u = f(V_1, \dots, V_q) \in \Delta_{i+1} \setminus \Delta_i$, then $t \downarrow u$ if, and only if, there exist $v_1, \dots, v_q \in \mathcal{U}_0$ such that $t \rightarrow^* f(v_1, \dots, v_q)$ and, for any $j \in \{1, \dots, q\}$, for any $v \in \text{Supp}(V_j)$, $v_j \downarrow v$.

This relation is extended to the relation $\downarrow \subseteq \mathcal{U}_0 \times \mathbf{2}\langle\Delta\rangle$ defined by: $t \downarrow U$ if, and only if, for any $u \in \text{Supp}(U)$, $t \downarrow u$.

Notice that, for any $t, u \in \mathcal{U}_0$, we have $t \downarrow u$ if, and only if, $t \rightarrow^* u$.

Lemma 2. Let $C[] \in \Theta_1$. Let $t \in \mathcal{U}_0$, $U, V \in \mathbf{2}\langle\Delta\rangle$ such that $t \downarrow U$ and $U \triangleright_{C[]} V$. Then $t \downarrow V$.

Proposition 1. Let $t \in \mathcal{U}_0$, $c \in \mathcal{T}_0(\mathcal{C})$. We have $t \rightarrow^* c$ if, and only if, $t \triangleright^* c$.

Proof: Assume that $t \rightarrow^* c$. We have $t \in \Phi_0$ and $\text{Seq}(t, c) \neq \emptyset$. Therefore, by Lemma 1, we have $t \triangleright^* c$.

Conversely, we prove, by induction on n and applying Lemma 2, that, for any $n \in \mathbb{N}$, for any $U_0, \dots, U_n \in \mathbf{2}\langle\Delta\rangle$ such that $t = U_0 \triangleright U_1 \dots U_{n-1} \triangleright U_n$, we have $t \downarrow U_n$.

Example 1. Consider the following (constrained) cons-free TRS: the set $\mathcal{D}$ is $\{k/1, h/2, p/1\}$ and the set $\mathcal{C}$ is $\{c/2, n/0, \text{true}/0, \text{false}/0\}$ with the following rewrite rules:

- $p(c(x, c(y, z))) \rightarrow x$
- $p(c(x, c(y, z))) \rightarrow y$
- $h(x, \text{false}) \rightarrow x$
- $k(x) \rightarrow h(x, x)$

We have $k(p(c(\text{true}, c(\text{false}, n)))) \rightarrow h(p(c(\text{true}, c(\text{false}, n))), p(c(\text{true}, c(\text{false}, n)))) \rightarrow^* h(\text{true}, \text{false}) \rightarrow \text{true}$ (notice that there is no innermost reduction sequence from $k(p(c(\text{true}, c(\text{false}, n))))$ to true). Now, we have

$$\begin{aligned}
k(p(c(\text{true}, c(\text{false}, n)))) &\triangleright_2 k(p(c(\text{true}, c(\text{false}, n))) + \text{false}) \\
&\triangleright_2 k(\text{true} + \text{false}) \\
&\triangleright_2 h(\text{true} + \text{false}, \text{true} + \text{false}) \\
&\triangleright_2^* h(\text{true}, \text{false}) \\
&\triangleright_2 \text{true}
\end{aligned}$$

3 Computation in constrained cons-free TRS

In this section, we show that, for any constrained cons-free TRS, it is enough to consider $\triangleright$ -reduction sequences $(U_i)_{i \in \mathbb{N}}$ of elements of $\mathbf{2}\langle\Delta\rangle_K$ (i.e. $\triangleright_K$ -reduction sequences) for some integer K depending only on the TRS.

We are given a constrained cons-free TRS and we set $\mathcal{B} = \mathcal{D} \setminus \mathcal{A}$ and as the TRS is finite, we let $K \geq 1$ be an integer such that, for any $(f(c_1, \dots, c_q), r) \in \mathcal{R}$ for any $x \in \mathcal{X} \cap \{c_1, \dots, c_q\}$, $Oc(x, r) \leq K$.

Definition 9. Let $i \in \mathbb{N}$. For any $U \in \mathbf{2}\langle \Delta_i \rangle$, we define $U^* \in \mathbf{2}\langle \Delta_i \rangle \cap \mathbf{2}\langle \Delta \rangle_K$ by induction on i :

- $i = 0$: we set $U^* = U$;
- $i > 0$, $U = f(U_1, \dots, U_q) \in \Delta_i \setminus \Delta_{i-1}$: we set

$$U^* = \sum_{\substack{W_1, \dots, W_q \in \mathbf{2}\langle \Delta_{i-1} \rangle \\ \text{Supp}(W_j) \subseteq \text{Supp}(U_j^*) \\ \text{Card}(\text{Supp}(W_j)) = \min\{Z, \text{Card}(\text{Supp}(U_j^*))\} \\ \text{for } j \in \{1, \dots, q\}}} f(W_1, \dots, W_q),$$

$$\text{where } Z = \begin{cases} 1 & \text{if } f \in \mathcal{A}; \\ K & \text{if } f \in \mathcal{B}. \end{cases}$$

- $i > 0$, $U \in \mathbf{2}\langle \Delta_i \rangle \setminus (\Delta_i \cup \mathbf{2}\langle \Delta_{i-1} \rangle)$: we set $U^* = \sum_{u \in \text{Supp}(U)} u^*$.

Definition 10. Let $q \in \mathbb{N}$. Let $c_1, \dots, c_q \in \mathcal{T}(\mathcal{C})$. Let $\varphi : \mathcal{X} \rightarrow \mathbf{2}\langle \mathcal{T}_0(\mathcal{C}) \rangle$. Assume that, for any $l \in \{1, \dots, q\}$, we have $\text{Oc}(c_l) \subseteq \text{dom}(\varphi)$ and $(c_l \notin \mathcal{X} \Rightarrow c_l^\varphi \in \mathcal{T}_0(\mathcal{C}))$. Let $W_1, \dots, W_q \in \mathbf{2}\langle \mathcal{T}_0(\mathcal{C}) \rangle$ such that, for any $l \in \{1, \dots, q\}$, $(c_l \notin \mathcal{X} \Rightarrow W_l = c_l^\varphi)$ and $\text{Supp}(W_l) \subseteq \text{Supp}(c_l^\varphi)$. Then we denote by $\varphi_{(W_1, \dots, W_q)}$ the partial function $\mathcal{X} \rightarrow \mathbf{2}\langle \mathcal{T}_0(\mathcal{C}) \rangle$ such that, for any $x \in \mathcal{X}$, we have $\varphi_{(W_1, \dots, W_q)}(x) = \begin{cases} W_l & \text{if } x = c_l; \\ \varphi(x) & \text{otherwise.} \end{cases}$

Lemma 3. Let $(f(c_1, \dots, c_q), r) \in \mathcal{R}$. Let $\varphi : \mathcal{X} \rightarrow \mathbf{2}\langle \mathcal{T}_0(\mathcal{C}) \rangle$. Assume that, for any $j \in \{1, \dots, q\}$, we have $\text{Oc}(c_j) \subseteq \text{dom}(\varphi)$ and $(c_j \notin \mathcal{X} \Rightarrow c_j^\varphi \in \mathcal{T}_0(\mathcal{C}))$. Then $\sum_{W_1 \in \mathcal{W}_1, \dots, W_q \in \mathcal{W}_q} r^{\varphi_{(W_1, \dots, W_q)}} \triangleright_K^* (r^\varphi)^*$, where, for any $j \in \{1, \dots, q\}$, $\mathcal{W}_j$ is the following subset of $\mathbf{2}\langle \mathcal{T}_0(\mathcal{C}) \rangle$:

- $\{c_j^\varphi\}$ in the case $c_j \notin \mathcal{X}$;
- $\left\{ W \in \mathbf{2}\langle \mathcal{T}_0(\mathcal{C}) \rangle; \begin{array}{l} \text{Supp}(W) \subseteq \text{Supp}(c_j^\varphi) \text{ and} \\ \text{Card}(\text{Supp}(W)) = \min\{\text{Oc}(c_j, r), \text{Card}(\text{Supp}(c_j^\varphi))\} \end{array} \right\}$ in the case $c_j \in \mathcal{X}$.

Proposition 2. Let $i \in \mathbb{N}$. Let $C[] \in \Delta_i^\square$. For any $U, V \in \mathbf{2}\langle \Delta \rangle$ such that $U \triangleright_{C[]} V$, we have $U^* \triangleright_K^* V^*$.

Proof: The proof is by induction on i .

- If $i = 0$, then $C[] = U_0 + \square$ for some $U_0 \in \mathbf{2}\langle \Delta \rangle$; we distinguish between two cases:
 - $V = U_0$ and there exists $u \in \Delta$ such that $U = U_0 + u$: in this case, we have $\|U^*\|, \|U_0^*\| \leq K$. Thus we have $U^* = U_0^* + u^* \triangleright_K^* U_0^* = V^*$.
 - $U = U_0 + f(c_1, \dots, c_q)^\varphi$, $V = U_0 + r^\varphi$ with $f \in \mathcal{D}_q$, $c_1, \dots, c_q \in \mathcal{T}(\mathcal{C})$, $\varphi : \mathcal{X} \rightarrow \mathbf{2}\langle \mathcal{T}_0(\mathcal{C}) \rangle$ such that, for any $j \in \{1, \dots, q\}$, $c_j \notin \mathcal{X} \Rightarrow c_j^\varphi \in \mathcal{T}_0(\mathcal{C})$: For any $j \in \{1, \dots, q\}$, we define $\mathcal{W}_j$ as in Lemma 3 and we define $\mathcal{W}'_j$ as follows:
 - * if $c_j \notin \mathcal{X}$, then $\mathcal{W}'_j = \{c_j^\varphi\}$;

* if $c_j \in \mathcal{X}$, then $\mathcal{W}'_j$ is the set

$$\left\{ W \in \mathbf{2}\langle \mathcal{T}_0(\mathcal{C}) \rangle; \begin{array}{l} \text{Supp}(W) \subseteq \text{Supp}(c_j^\varphi) \text{ and} \\ \text{Card}(\text{Supp}(W)) = \min\{Z, \text{Card}(\text{Supp}(c_j^\varphi))\} \end{array} \right\}$$

$$\text{with } Z = \begin{cases} 1 & \text{if } f \in \mathcal{A}; \\ K & \text{if } f \in \mathcal{B}. \end{cases}$$

We have

$$\begin{aligned} (f(c_1, \dots, c_q)^\varphi)^* &= \sum_{W_1 \in \mathcal{W}'_1, \dots, W_q \in \mathcal{W}'_q} f(c_1^{\varphi(W_1, \dots, W_q)}, \dots, c_q^{\varphi(W_1, \dots, W_q)}) \\ &\triangleright_K^* \sum_{W_1 \in \mathcal{W}_1, \dots, W_q \in \mathcal{W}_q} f(c_1, \dots, c_q)^{\varphi(W_1, \dots, W_q)} \\ &\triangleright_K^* \sum_{W_1 \in \mathcal{W}_1, \dots, W_q \in \mathcal{W}_q} r^{\varphi(W_1, \dots, W_q)} \\ &\triangleright_K^* (r^\varphi)^* \text{ (by Lemma 3).} \end{aligned}$$

We have $U_0 \in \mathbf{2}\langle \Delta \rangle_K$, so $U^* = U_0^* + (f(c_1, \dots, c_q)^\varphi)^* \triangleright_K^* U_0^* + (r^\varphi)^* = V^*$.
 – If $i > 0$, $U = C[U_0]$, $V = C[V_0]$, $C[] = U' + f(U_1, \dots, U_m)$, $k \in \{1, \dots, m\}$, $U_k \in \Delta_{i-1}^\square$ and $U_0 \triangleright_\square V_0$, then, by the induction hypothesis, $U_k[U_0^*] \triangleright_K^* U_k[V_0^*]$. Let $V_1, \dots, V_m \in \mathbf{2}\langle \Delta \rangle$ such that

- for any $j \in \{1, \dots, m\} \setminus \{k\}$, we have

$$\text{Supp}(V_j) \subseteq \text{Supp}(U_j^*) \text{ and } \text{Card}(\text{Supp}(V_j)) = \min\{1, \text{Card}(\text{Supp}(U_j^*))\}$$

- and $\text{Supp}(V_k) \subseteq \text{Supp}(U_k[V_0]^*)$ and

$$\text{Card}(\text{Supp}(V_k)) = \begin{cases} \min\{1, \text{Card}(\text{Supp}(U_k[V_0]^*))\} & \text{if } f \in \mathcal{A}; \\ \min\{K, \text{Card}(\text{Supp}(U_k[V_0]^*))\} & \text{if } f \in \mathcal{B}. \end{cases}$$

There exists $V \in \mathbf{2}\langle \Delta \rangle$ such that $\text{Supp}(V) \subseteq \text{Supp}(U_k[U_0])$, $\text{Card}(\text{Supp}(V)) \leq \text{Card}(\text{Supp}(V_k))$ and $V \triangleright_K^* V_k$, and hence $f(V_1, \dots, V_{k-1}, V, V_{k+1}, \dots, V_m) \triangleright_K^* f(V_1, \dots, V_k)$. We obtain

$$\begin{aligned} &f(U_1, \dots, U_{k-1}, U_k[U_0], U_{k+1}, \dots, U_m)^* \\ &\triangleright_K^* f(U_1, \dots, U_{k-1}, U_k[V_0], U_{k+1}, \dots, U_m)^*; \end{aligned}$$

moreover we have $\|U'^*\| \leq K$, hence

$$\begin{aligned} U^* &= U'^* + f(U_1, \dots, U_{k-1}, U_k[U_0], U_{k+1}, \dots, U_m)^* \\ &\triangleright_K^* U'^* + f(U_1, \dots, U_{k-1}, U_k[V_0], U_{k+1}, \dots, U_m)^* \\ &= V^* \end{aligned}$$

Corollary 1. Let $q \in \mathbb{N}$. Let $C_1, \dots, C_q \in \mathbf{2}\langle \mathcal{T}_0(\mathcal{C}) \rangle$ such that $\text{Card}(\text{Supp}(C_1)), \dots, \text{Card}(\text{Supp}(C_q)) \leq K$, let $c \in \mathcal{T}_0(\mathcal{C})$ and $f \in \mathcal{D}_q$. We have $f(C_1, \dots, C_q) \triangleright_K^* c$ if, and only if, $f(C_1, \dots, C_q) \triangleright_K^* c$.

Proof: Apply Proposition 2, noticing that $f(C_1, \dots, C_q)^* = f(C_1, \dots, C_q)$ and $c^* = c$.

4 A polynomial time algorithm

In this section we describe a polynomial time algorithm that computes the constructor terms obtained by $\triangleright_K$ -reduction sequences in a constrained cons-free TRS. Assume that we are given a constrained cons-free TRS. We assume that $\mathcal{R} = \{(l_1, r_1), \dots, (l_R, r_R)\}$ and $\bigcup_{j=1}^R Oc(l_j) = \{x_1, \dots, x_V\}$. We set $\mathcal{T}_{\mathcal{R}} = \bigcup \{t \in \mathcal{T}(\mathcal{D} \cup \mathcal{C}); (\exists j \in \{1, \dots, R\})(t \trianglelefteq l_j \text{ or } t \trianglelefteq r_j)\}$. We set $A = \max\{ar(f); f \in \mathcal{D} \cup \mathcal{C}\}$, $O = \max\{1, \max\{Oc(f, r_j); f \in \mathcal{D} \text{ and } j \in \{1, \dots, R\}\}\}$, $Q = Card(\mathcal{D})$ and $S = Card(\mathcal{C}_0)$. For any $c_0 \in \mathcal{T}_0(\mathcal{C})$, we set $\mathcal{I}(c_0) = \{c \in \mathcal{T}_0(\mathcal{C}); c \in \mathcal{C}_0 \text{ or } c \trianglelefteq c_0\}$.

Remark 1. For any $c_0 \in \mathcal{T}_0(\mathcal{C})$, we have $Card(\mathcal{I}(c_0)) = S + |c_0|$.

Definition 11. For any $c_0 \in \mathcal{T}_0(\mathcal{C})$, we set $\mathcal{V}(c_0) = \bigcup_{i \in \mathbb{N}} \mathcal{V}_i(c_0)$, where $\mathcal{V}_i(c_0)$ is a subset of $\{U \in \mathcal{Z}(\Delta_i); \|U\| \leq K\}$ defined by induction on i :

- $\mathcal{V}_0(c_0) = \{U \in \mathcal{Z}(\mathcal{T}_0(\mathcal{C})); Card(Supp(U)) \leq K \text{ and } Supp(U) \subseteq \mathcal{I}(c_0)\}$
- $\mathcal{V}_{i+1}(c_0) = \mathcal{V}_i(c_0) \cup \bigcup_{m=1}^A \{f(V_1, \dots, V_m); f \in \mathcal{D}_m \text{ and } V_1, \dots, V_m \in \mathcal{V}_i(c_0)\}$

Given $c_0 \in \mathcal{T}_0(\mathcal{C})$, the algorithm will compute, for every element u of $\mathcal{V}_1(c_0) \setminus \mathcal{V}_0(c_0)$, the set of constructor terms c such that $u \triangleright_K^* c$. In particular, by Proposition 1 and Corollary 1, if K is large enough, then, for every $f \in \mathcal{D}_m$ and every $c_1, \dots, c_m \in \mathcal{I}(c_0)$, it will return exactly all the constructor terms c such that $f(c_1, \dots, c_m) \rightarrow^* c$.

Remark 2. For any $c_0 \in \mathcal{T}_0(\mathcal{C})$, we have $Card(\mathcal{V}_0(c_0)) \leq Card(\mathcal{I}(c_0))^{K+1}$, hence $Card(\mathcal{V}_1(c_0) \setminus \mathcal{V}_0(c_0)) \leq Q \cdot Card(\mathcal{I}(c_0))^{A \cdot (K+1)}$.

Definition 12. For any $c_0 \in \mathcal{T}_0(\mathcal{C})$, for any $i \in \mathbb{N} \setminus \{0\}$, for any $V \in \mathcal{V}_i(c_0) \setminus \mathcal{V}_{i-1}(c_0)$, we define, by induction on i , the leftmost-innermost redex $\langle U|E \rangle$ of V with $U \in \mathcal{V}_1(c_0) \setminus \mathcal{V}_0(c_0)$ and $E \in \Theta_1$:

- if $i = 1$, then the leftmost-innermost redex of V is $\langle V|\square \rangle$;
- if $i > 1$ and $V = f(V_1, \dots, V_m)$, then the leftmost-innermost redex of V is $\langle W|f(V_1, \dots, V_{j-1}, C, V_{j+1}, \dots, V_m) \rangle$, where $j = \min\{k \in \{1, \dots, m\}; V_k \notin \mathcal{V}_0\}$ and $\langle W|C \rangle$ is the leftmost-innermost redex of V_j .

From now M will be an integer and E the subset $\{1, \dots, M\}$ of $\mathbb{N}$; L will be a function $E \rightarrow \mathcal{D} \cup \mathcal{C} \cup \{x_1, \dots, x_V, \perp\}$, $Succ$ will be a partial function $E \rightarrow (E \cup \{\perp\})^{\{1, \dots, A\}}$ and $Comp$ will be a partial function $E \rightarrow (E \cup \{\perp\})^{\{1, \dots, K\}}$.

Definition 13. For any $t \in \mathcal{T}(\mathcal{D} \cup \mathcal{C})$, for any $n \in E$, we define, by induction on t , $F_{\mathcal{T}}(t, n) \in \{0, 1\}$ as follows: $F_{\mathcal{T}}(f(t_1, \dots, t_m), n) = 1$ if, and only if, $n \in dom(Succ)$, $L(n) = f$ and $F_{\mathcal{T}}(t_1, Succ(n)(1)) = \dots = F_{\mathcal{T}}(t_m, Succ(n)(m)) = 1$.

Notice that $F_{\mathcal{T}}(t, n) = F_{\mathcal{T}}(t', n) = 1 \Rightarrow t = t'$, hence we can define a partial function $\llbracket \cdot \rrbracket_{\mathcal{T}} : E \rightarrow \mathcal{T}(\mathcal{D} \cup \mathcal{C})$ by setting $\llbracket n \rrbracket_{\mathcal{T}} = t$ if, and only if, $F_{\mathcal{T}}(t, n) = 1$. In the same way, we define a partial function $\llbracket \cdot \rrbracket_{\mathcal{V}, c_0} : E \rightarrow \mathcal{V}(c_0)$ for any $c_0 \in \mathcal{T}_0(\mathcal{C})$:

Definition 14. Let $c_0 \in \mathcal{T}_0(\mathcal{C})$. For any $i \in \mathbb{N}$, for any $V \in \mathcal{V}_i(c_0)$, for any $n \in E$, we define, by induction on i , $F_{\mathcal{V}, c_0}(V, n) \in \{0, 1\}$:

- if $i = 0$, then $F_{\mathcal{V},c_0}(V, n) = 1$ if, and only if, $\llbracket n \rrbracket_{\mathcal{T}} = V$ or the following holds:
 $L(n) = \perp$, $n \in \text{dom}(\text{Comp})$ and $\sum_{\substack{k \in \{1, \dots, K\} \\ \text{Comp}(n)(k) \neq \perp}} \llbracket \text{Comp}(n)(k) \rrbracket_{\mathcal{T}} = V$;
- if $i > 0$ and $V = f(V_1, \dots, V_m) \notin \mathcal{V}_{i-1}$, then $F_{\mathcal{V},c_0}(V, \llbracket n \rrbracket_{\mathcal{V},c_0}) = 1$ if, and only if, $n \in \text{dom}(\text{Succ})$, $L(n) = f$ and $\llbracket \text{Succ}(n)(1) \rrbracket_{\mathcal{V},c_0} = V_1, \dots, \llbracket \text{Succ}(n)(\text{ar}(f)) \rrbracket_{\mathcal{V},c_0} = V_{\text{ar}(f)}$.

Since, for any $c_0 \in \mathcal{T}_0(\mathcal{C})$, we have $F_{\mathcal{V},c_0}(V, v) = F_{\mathcal{V},c_0}(V', n) = 1 \Rightarrow V = V'$, we can define a partial function $\llbracket \cdot \rrbracket_{\mathcal{V},c_0} : E \rightarrow \mathcal{V}(c_0)$ by setting $\llbracket n \rrbracket_{\mathcal{V},c_0} = V$ if, and only if, $F_{\mathcal{V},c_0}(V, n) = 1$.

In the two following definitions, we restrict the partial functions $\llbracket \cdot \rrbracket_{\mathcal{T}}$ and $\llbracket \cdot \rrbracket_{\mathcal{V},c_0}$ to elements of E that *unshare* (hence the symbol U) defined symbol functions.

Definition 15. For any $n \in \text{dom}(\llbracket \cdot \rrbracket_{\mathcal{T}})$, we define $\text{Reach}_{\mathcal{T}}(n) \subseteq E$ by induction on $\llbracket n \rrbracket_{\mathcal{T}}$: if $L(n) \notin \mathcal{D}$, then $\text{Reach}_{\mathcal{T}}(n) = \emptyset$; if $L(n) \in \mathcal{D}$, then $\text{Reach}_{\mathcal{T}}(n) = \{n\} \cup \bigcup_{j=1}^{\text{ar}(L(n))} \text{Reach}_{\mathcal{T}}(\text{Succ}(n)(j))$.

We set $U_{\mathcal{T}} = \{n \in \text{dom}(\llbracket \cdot \rrbracket_{\mathcal{T}}); (\forall m, m' \in \text{Reach}_{\mathcal{T}}(n))(L(m) = L(m') \Rightarrow (m \neq m' \text{ or } L(m) \notin \mathcal{D}))\}$ and $\llbracket \cdot \rrbracket_{\mathcal{T},U} = \llbracket \cdot \rrbracket_{\mathcal{T}}|_{U_{\mathcal{T}}}$.

Definition 16. For any $c_0 \in \mathcal{T}_0(\mathcal{C})$, for any $i \in \mathbb{N}$, for any $V \in \mathcal{V}_i(c_0)$, for any $n \in E$ such that $\llbracket n \rrbracket_{\mathcal{V},c_0} = V$, we define $\text{Reach}_{\mathcal{V},c_0}(n)$ by induction on i :

- if $i = 0$ and $L(n) = \perp$, then

$$\text{Reach}_{\mathcal{V},c_0}(n) = \bigcup_{\substack{k \in \{1, \dots, K\} \\ \text{Comp}(n)(k) \neq \perp}} \text{Reach}_{\mathcal{T}}(\text{Comp}(n)(k))$$

- if $i = 0$ and $L(n) \neq \perp$, then $\text{Reach}_{\mathcal{V},c_0}(n) = \text{Reach}_{\mathcal{T}}(n)$
- if $i > 0$, then $\text{Reach}_{\mathcal{V},c_0}(n) = \{n\} \cup \bigcup_{j=1}^{\text{ar}(L(n))} \text{Reach}_{\mathcal{V},c_0}(\text{Succ}(n)(j))$

For any $c_0 \in \mathcal{T}_0(\mathcal{C})$, we denote by $U_{\mathcal{V}}(c_0)$ the set of $n \in \text{dom}(\llbracket \cdot \rrbracket_{\mathcal{V},c_0})$ such that $(\forall m, m' \in \text{Reach}_{\mathcal{V},c_0}(n))(L(m) = L(m') \Rightarrow (m \neq m' \text{ or } L(m) \notin \mathcal{D}))$ and we set $\llbracket \cdot \rrbracket_{\mathcal{V},c_0,U} = \llbracket \cdot \rrbracket_{\mathcal{V},c_0}|_{U_{\mathcal{V}}(c_0)}$.

From now, we assume that, for any $c_0 \in \mathcal{T}_0(\mathcal{C})$, we are given a bijection $\text{inp}(c_0) : \{1, \dots, \text{Inp-Max}\} \rightarrow \mathcal{V}_1(c_0) \setminus \mathcal{V}_0(c_0)$. By Remark 2, we can assume that $i \mapsto \llbracket i \rrbracket_{\mathcal{V},c_0}$. $\text{Inp-Max} \leq Q \cdot \text{Card}(\mathcal{I}(c_0))^{A \cdot (K+1)}$.

The algorithm begins with a procedure `Inst-Init()` which performs the following one: for any $c_0 \in \mathcal{T}_0(\mathcal{C})$, after the execution of the procedure, we have

- $\{\llbracket \text{Inp}(i) \rrbracket_{\mathcal{V},c_0}; 1 \leq i \leq \text{Inp-Max}\} = \mathcal{V}_1(c_0) \setminus \mathcal{V}_0(c_0)$
- and, for any $i \in \{1, \dots, \text{Inp-Max}\}$, we have $\{\llbracket \text{Inst}(i)(s) \rrbracket_{\mathcal{V},c_0,U}; 1 \leq s \leq R\} \setminus \{\perp\} = \{V \in \mathbf{2}^{\Delta}; \llbracket \text{Inp}(i) \rrbracket_{\mathcal{V},c_0} \triangleright_{\Delta} V\}$.

Here we used the following crucial property of cons-free term rewriting systems: whenever we perform a reduction step $u \triangleright_{\Delta} V$ with $u \in \mathcal{V}_1(c_0) \setminus \mathcal{V}_0(c_0)$, we have $V \in \mathcal{V}(c_0)$ (and not only in $\mathbf{2}\langle\Delta\rangle$).

The algorithm calls the procedure $\text{Inf-Inp}(i, j)$ with $i, j \in \{1, \dots, \text{Inp-Max}\}$. This procedure performs the following one: for any $c_0 \in \mathcal{T}_0(\mathcal{C})$, if there exist $q \in \mathbb{N}$, $f \in \mathcal{D}_q$ and $C_1, \dots, C_q, C'_1, \dots, C'_q \in \mathbf{2}\langle\mathcal{T}_0(\mathcal{C})\rangle$ such that

- $\llbracket \text{Inp}(i) \rrbracket_{\mathcal{V}, c_0} = f(C'_1, \dots, C'_q)$,
- $\llbracket \text{Inp}(j) \rrbracket_{\mathcal{V}, c_0} = f(C_1, \dots, C_q)$
- and $\text{Supp}(C'_1) \subseteq \text{Supp}(C_1), \dots, \text{Supp}(C'_q) \subseteq \text{Supp}(C_q)$,

then the procedure $\text{Inf-Inp}(i, j)$ returns **true**; otherwise it returns **false**.

Definition 17. Let $c_0 \in \mathcal{T}_0(\mathcal{C})$. For any $i \in \mathbb{N}$, we define some subset $\Psi_i(c_0)$ of $\Delta_i^{\square}$ by induction on i as follows: $\Psi_0(c_0) = \{\square\}$ and

$$\Psi_{i+1}(c_0) = \bigcup_{m \in \mathbb{N}} \left\{ f(V_1, \dots, V_m); \begin{array}{l} f \in \mathcal{D}_m \text{ and } (\exists j \in \{1, \dots, m\}) \\ (V_j \in \Psi_i(c_0) \text{ and} \\ V_1, \dots, V_{j-1}, V_{j+1}, \dots, V_m \in \mathcal{V}(c_0)) \end{array} \right\}.$$

We set $\Psi(c_0) = \bigcup_{i \in \mathbb{N}} \Psi_i(c_0)$.

Definition 18. Let $c_0 \in \mathcal{T}_0(\mathcal{C})$. Let $Y : \mathcal{V}_1(c_0) \setminus \mathcal{V}_0(c_0) \rightarrow \{\text{true}, \text{false}\}^{\mathcal{I}}$. For any $C[] \in \Psi(c_0)$, we define the binary relation on $\mathcal{V}(c_0)$ as follows: $V \triangleright_{Y, C[]} V'$ if, and only if, there exist $u \in \mathcal{V}_1(c_0) \setminus \mathcal{V}_0(c_0)$ and $V_0 \in \mathcal{V}_0(c_0)$ such that $\text{Supp}(V_0) \subseteq \{c \in \mathcal{I}(c_0); Y(u)(c) = \text{true}\}$, $V = C[u]$ and $V' = C[V_0]$.

We define the binary relation $\triangleright_Y$ on $\mathcal{V}(c_0)$ as follows: $V \triangleright_Y V'$ if, and only if, there exists $W \in \mathbf{2}\langle\mathcal{T}_0(\mathcal{C})\rangle$ such that

- $\text{Card}(\text{Supp}(W)) \leq K$,
- for any $w \in \text{Supp}(W)$, $Y(U)(w) = \text{true}$
- and $V' = E[W]$,

where $\langle U|E[] \rangle$ is the leftmost-innermost redex of V .

The algorithm uses a procedure **Computation**, which has the following properties: Let $c_0 \in \mathcal{T}_0(\mathcal{C})$. Let $Q \leq O$. Let $V \in \mathcal{V}_Q(c_0)$. Let $n \in E$ such that $\llbracket n \rrbracket_{\mathcal{V}, c_0, U} = V$. Let $Y : \mathcal{V}_1(c_0) \setminus \mathcal{V}_0(c_0) \rightarrow \{\text{true}, \text{false}\}^{\mathcal{I}(c_0)}$ such that, for any $V' \in \mathcal{V}_1(c_0) \setminus \mathcal{V}_0(c_0)$, for any $c \in \mathcal{I}(c_0)$, $Y(V')(c) = \text{true}$ if, and only if, $\text{Val}(\text{inp}(c_0)^{-1}(V'))(c) = \text{true}$. After the execution of the procedure **Computation**(n), we have:

- apart from M and D , which increased, and apart from Result , no value of any global variable changed;
- the increasing of D is bound by $(\text{Card}(\mathcal{I}(c_0)) + 1)^{Q \cdot K} \cdot K$;
- for any $c \in \mathcal{I}(c_0)$, there exists $j \in \{1, \dots, D\}$ such that $\text{Result}(j) = c$ if, and only if, there exists $V' \in \mathcal{V}_0(c_0)$ such that $c \in \text{Supp}(V')$ and $V \triangleright_Y^* V'$.

The execution time of the procedure **Computation** is polynomial in the size of c_0 .

The key-point to notice is that the execution time of the algorithm is in $\mathcal{O}(|c_0|^H)$ for some constant H is that the size of the table Val is $\text{Card}(\text{Inp-Max}) \times \text{Card}(\mathcal{I}(c_0)) \leq (Q \cdot \text{Card}(\mathcal{I}(c_0)))^{A \cdot (K+1)} \times (S + |c_0|)$. Hence, for any $c_0 \in \mathcal{T}_0(\mathcal{C})$,

```

Inst-Init(); change := true;
while change do
  change := false;
  for i := 1 to Inp-Max do
    D := 0; for s := 1 to R do Computation(Inst(i)(s)); od;
    for o := 1 to Inp-Max do
      if Inf-Inp(i, o) then
        for j := 1 to D do
          if Val(o)(Result(j)) ≠ false
            then change := true;
              Val(o)(Result(j)) := true;
            fi;
          od;
        fi;
      od;
    od; od; od;

```

Fig. 1. The algorithm

for any $m \in \mathbb{N}$, for any $f \in \mathcal{D}_m$, for any $C_1, \dots, C_m \in \mathbf{2}\langle \mathcal{T}_0(\mathcal{C}) \rangle$ such that $\text{Card}(\text{Supp}(C_1)), \dots, \text{Card}(\text{Supp}(C_m)) \leq K$, for any $c \in \{c' \in \mathcal{T}(\mathcal{C}); c' \trianglelefteq c_0 \text{ or } c' \in \mathcal{C}_0\}$, the problem of deciding whether $f(C_1, \dots, C_m) \triangleright_K^* c$ holds is solvable in time polynomial in the size of c_0 .

5 Characterizing P

Let Γ be the signature $\{\text{one}/1, \text{zero}/1, \text{nil}/0\}$. For each $t = f_1(f_2(\dots f_n(\text{nil}))) \in \mathcal{T}_0(\Gamma)$, we define the string $\langle t \rangle$ to be $\langle f_1 \rangle \langle f_2 \rangle \dots \langle f_n \rangle$ where $\langle \text{one} \rangle = '1'$ and $\langle \text{zero} \rangle = '0'$. Clearly, $\mathcal{T}_0(\Gamma)$ is in bijective correspondence with $\{0, 1\}^{<\infty}$ under $\langle \cdot \rangle$.

Jones [3] considers (deterministic) *cons-free functional programs*. Now, the following lemma holds:

Lemma 4. *Any (deterministic) cons-free functional program taking only zeroth-order data and involving only terminating functions can be simulated by an orthogonal cons-free TRS.*

Proof: Given a (deterministic) cons-free functional program $\mathbf{p}$ taking only zeroth-order data, we consider the following cons-free TRS: for any declaration of the form $f x_1 \dots x_n = e^f$ in $\mathbf{p}$, we have the rewrite rule $f(x_1, \dots, x_n) \rightarrow (e^f)^*$, where $(e^f)^*$ is defined by induction on e^f : for instance, if $e^f = \text{if } e_1 e_2 e_3$, then $(e^f)^* = \text{if}(e_1^*, e_2^*, e_3^*)$; moreover we have the rewrite rules $\text{if}(\text{true}, x, y) \rightarrow x$ and $\text{if}(\text{false}, x, y) \rightarrow y$.

As the language of [3] involves only a single function declaration per function name, and all left-hand sides of such declaration have the form $f(x_1, \dots, x_n)$ (for distinct $x_1, \dots, x_n$), it is straightforward that the cons-free TRS we obtained is orthogonal. The operational semantics in [3] is essentially call-by-value and can

be straightforwardly simulated by innermost reduction steps (the exceptions are whenever we have expressions of the form $\text{if } e_1 \ e_2 \ e_3$: following the evaluation of e_1 , either e_2 or e_3 will not be evaluated). Hence, if $f \ c_1 \dots c_n$ evaluates to some normal form in the functional program, then t reduces to the same normal form in the corresponding TRS. Conversely, as orthogonal TRSs are confluent (hence each term has at most one normal form), and the functions are terminating, if $f(c_1, \dots, c_n)$ reduces to some normal form c in the TRS, then $f \ c_1 \dots c_n$ evaluates to the value c in the functional program.

Theorem 1. *Let $L \subseteq \{0, 1\}^{<\infty}$. Then, $L \in P$ if, and only if, there exists a constrained cons-free TRS over some signature $\mathcal{F} = \mathcal{D} \cup \mathcal{C}$ such that (i) $\Gamma \subseteq \mathcal{C}$, and there is $f \in \mathcal{D}$ and $\text{true} \in \mathcal{C}_0$ such that, for any $t \in \mathcal{T}_0(\Gamma)$, we have $f(t) \rightarrow^* \text{true}$ if, and only if, $\langle t \rangle \in L$.*

Proof: Corollary 24.2.4 of [17] (or Theorem 6.12 of [3] in the case $k = 0$) shows that we can simulate any polynomial-time Turing Machine by a (deterministic) cons-free (called *read-only* in [17]) functional program taking only zeroth-order data (Note that cons-free in the above setting is slightly stronger than our notion: No constructors are allowed in the right-hand side of function declarations). This simulation involves only terminating functions, hence, by Lemma 4, any polynomial-time Turing Machine can be simulated by an orthogonal cons-free TRS.

The cons-free term rewriting system R obtained from a functional program is not necessarily constrained. To obtain a constrained system, we do the following for each function declaration $\text{def } f(x_1, \dots, x_n) = e^f$ (where the function body e^f is an expression in the functional language): Let $f(x_1, \dots, x_n) \rightarrow r$ be the corresponding cons-free rule. For every such rule, let $\{x_1, \dots, x_n\}$ be the set of variables that occur immediately beneath the defined symbol at the root of the left-hand side. Choose a set $\{y_1, \dots, y_n\}$ of distinct variables, and let M be the set of all n -tuples $w = (s_1, \dots, s_n)$ where s_i (for $1 \leq i \leq n$) is either $\text{zero}(y_i)$, $\text{one}(y_i)$, or nil . Then replace the rule $f(x_1, \dots, x_n) \rightarrow r$ by the $|M|$ rules on the form $f(s_1, \dots, s_n) \rightarrow r[s_1/x_1, \dots, s_n/x_n]$, where $(s_1, \dots, s_n) \in M$ and $r[s_1/x_1, \dots, s_n/x_n]$ denotes the obvious substitution. Observe that (i) each of the new rules is left-linear if the original rule was, and (ii) that the only overlaps between these rules occur when the left-hand sides are equal. Thus, as R was orthogonal, so is R' , and it is clearly constrained as no variable in a left-hand side occurs immediately below the defined symbol at the root.

It is obvious that, for any terms t and t' such that $t \rightarrow t'$ in R' , we have $t \rightarrow t'$ in R : indeed if $t \rightarrow_{(l,r)} t'$ and (l, r) is not a rule of R , then there exists a unique rule (l_0, r_0) of R such that (l, r) is obtained from (l_0, r_0) ; we have $t \rightarrow_{(l_0, r_0)} t'$. Reciprocally, if t and t' are two terms such that $t = C[f(t_1, \dots, t_m)^\sigma] \rightarrow_{(f(t_1, \dots, t_m), r)} C[r^\sigma] = t'$ is an innermost reduction step in R , then $t_1^\sigma, \dots, t_m^\sigma$ are constructor terms, hence there exists a rule (l, r) in R' such that $t \rightarrow_{(l,r)} t'$. Now, since R is confluent and the functions are terminating, for any term t and any constructor term c , we have $t \rightarrow^* c$ in R if, and only if, t reduces to c in R by some innermost strategy.

To see that every constrained, cons-free TRS can be suitably simulated by a polynomial-time Turing machine, let $K \geq 1$ be an integer such that, for any rule $(f(c_1, \dots, c_q), r)$, for any $x \in \mathcal{X} \cap \{c_1, \dots, c_q\}$, $Oc(x, r) \leq K$. By Proposition 1 and Corollary 1, we have $f(t) \rightarrow^* \text{true}$ if, and only if, $f(t) \triangleright_K^* \text{true}$. And the previous section showed that the problem of deciding whether $f(t) \triangleright_K^* \text{true}$ holds is solvable in time polynomial in the size of t .

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